

The Secret Life of Pronouns. What Our Words Say About Us. James Pennebaker. 2011. Bloomsbury Press: New York. 299pp., 17pp. notes, 15pp. bibliography, 18pp index.

This is a great book that aims to popularize the study of how function words such as pronouns, but also articles, prepositions and auxiliary verbs reveal personality traits and roles within relationships.¹ James Pennebaker is a social psychologist who has made major contributions in understanding how people who've gone through traumatic experiences may be helped by writing about them. He has also invested a good deal of time in developing techniques for counting function words and interpreting differences in their distributions. And while Pennebaker focuses on interpreting differences in distributions as reflections of different personality types or different roles in relations, he is interested in a wide range of other topics in which the interpretation of the word frequencies might play a role, including psychological health, emotions, honesty vs. deception, corporate and regional identity, literature, authorship attribution, authority in relationships, and political appeal.

The book deserves a review in LLC because it pays attention to linguistic and literary interests, and especially because it adds an interpretive dimension to STYLOMETRY (the study of style using exact techniques) which has been underdeveloped to-date (but see, too, Noecker et al., to appear). As readers of this journal know, stylometry has come to focus increasingly on authorship attribution as an objective validation of its work, and has come to accept – with some demurring voices (Burrows, 2007) – that function word distributions are the most interesting indicators of authorship. I'll criticize Pennebaker a bit below for largely ignoring the stylometric literature, but I'll focus on what he does contribute, and that is a great deal. The focused contribution Pennebaker is making concerns the interpretation of stylometric results – e.g., what does it mean if we find that an author uses an unusual number of first person singular pronouns (*I*-words)? His results are often surprising.

Over the years Pennebaker has collaborated with a number of colleagues who he acknowledges generously throughout the book. A particularly important collaborator was Martha E. Francis, who developed the program Linguistic Inquiry and Word Count (LWIC), which inputs document collections and outputs lists of word frequencies, normally classified according to semantic fields, such as anger, sadness, anxiety, or positive emotions. This seemed rewarding, but other collaborators soon convinced Pennebaker that there was more going on with function words, and these quickly became the focus of many years of inquiry.

For over fifty years work on non-traditional authorship attribution has focused on analyzing distributions of function words. There are two reasons for this: first, because only function words occur frequently enough for reliable statistical inference, and second, because it is unlikely that authors attempt to manipulate their use of function words (Nerbonne, 2007). Stylometry is the more general effort to study textual style using exact techniques. While there are excellent examples of stylometry in which, e.g. grammatical features are the focus of analysis (Baayen, Van Halteren & Tweedie, 1996; Hirst & Feiguina, 2007), and while there is an ongoing debate about how many common words to use, the dominant trend is definitely to use word frequency distributions of frequent words, i.e. function words. What's often missing, on the other hand, is a careful interpretation of what differences in function word distributions mean. Hugh Craig (1999:103) asked the question most pointedly: "If you can tell authors apart, have you learned anything about them?" Pennebaker's work is well-poised to fill in that interpretive gap.

For example, early in his book (Chap. 3), Pennebaker notes that women tend to use more personal pronouns, negations (e.g., *no*, *not*, and *never*), “certainty words” such as *always* or *absolutely*, and hedge phrases (*I think*). As a social psychologist, he elaborates on what this reveals about sex differences, even examining the lexical patterns of people undergoing sex-change operations, and testing whether masculine patterns correlate with varying testosterone levels as the people involved received hormone injections. Pennebaker also examines correlations of function word distributions with age (younger writers use the past tense more, older writers the future tense) and class (upper classes use *we* while lower classes emphasize *I*). In every case Pennebaker proceeds from speech or text from people whose properties he can gauge, so when he characterizes women’s speech as involving more personal pronouns, he can back this up with statistics compiled from empirical data. It is exactly this empirically validated level of interpretation that we normally lack in stylometry.

But intriguingly, Pennebaker turns almost immediately to the question of whether (and which) authors tend to portray men and women faithfully in how function words are used. Joan Tewkesbury and Thornton Wilder do well in portraying men and women with respect to their distinct uses of function words, while Nora Ephron’s and Woody Allen’s characters all have feminine distributions, and Quentin Tarantino’s and William Shakespeare’s characters tend to show masculine distributions – including the female lead in *Romeo and Juliet*! Naturally, one can argue with Pennebaker’s characterization. For example he doesn’t mention verifying that the differences in the function word distributions he examines were the same during Shakespeare’s time. But that’s a quibble, compare to the enormous leap in interpretation Pennebaker is facilitating. Pennebaker and Ireland (2011) pursue this line of research in more detail.

In a chapter on detecting emotions in function words, Pennebaker not only introduces the different expressions of emotion using poetry, he goes on to devote sections to the language of suicidal poets (heavy on so-called *I*-words), and to the changes in King Lear’s language as he came to realize the earlier errors he had arrogantly made. In a chapter on the language of lying a major focus is the language of the self-deceptive Ebenezer Scrooge in Dickens’s *A Christmas Carol*. In a chapter on how style indicators for partners in relationships tend to approach each other, he again turns to literature, examining the poetry of Elizabeth Barrett and Robert Browning on the one hand, and that of Sylvia Plath and Ted Hughes on the other. But in contrast to most stylometric studies, Pennebaker regularly backs up the claims he makes about writers and literary characters with empirical studies of the language of depression, deception and strong emotion. His stylometry not only characterizes differences, it interprets them as well, and the interpretation is subject to empirical verification.

Pennebaker emphasizes a number of times in his book that he does not imagine that function word distributions *cause* the various correlates he studies (e.g., using positive emotion words does not improve mental health, p.14, even though the use of these words correlates with better health); instead the function words should be understood as indicators (p.14,p.102). He speculates that conversation partners are attuned to the signals the function words carry, even suggesting that mirror neurons might be involved (p.202), and noting that adaptation to conversation partners happens very quickly (‘in a matter of seconds’, p.225). But function words do not signal individually but rather in the aggregate (as distributions), which means that they signal weakly. In one case Pennebaker notes that Nixon used only 3.9% *I*-words in speaking with his aides, who

used 5.4%. But for a signal involving a 1.5 % difference in frequency to be interpreted reliably, one would have to be involved in minutes of conversation, not several seconds. (A back-of-the-envelope calculation suggests that the percentage difference 1.5% would translate to two standard errors after about 400 words, or about two minutes of rapid speech. The signal just isn't available 'in seconds'.) This is probably unimportant for literary analysis, but it suggests that the psychological mechanisms influencing function word distributions may be more involved.

I've emphasized the real and potential contributions Pennebaker is making to stylometry, but I should not close without mentioning that the book is not without flaws. Some sections do not rise above banality, e.g. the first third of Chap. 9 that explains how employees who refer to their departments, divisions and companies using *we*, *our*, and *us* are more likely to identify with their employers and organizations. Stylometry experts may wonder how anyone could examine Jane Austen's vocabulary without so much as mentioning the Busa prize recipient, J. F. Burrows, but Pennebaker manages to compare works from different times in Austen's career (pp.65-66) without so much as a nod to Burrows's (1987) chapter on "The Changes that Time Brings". In fact the only stylometry mentioned is Mosteller and Wallace's famous authorship study (whose work he takes care to "correct", without mentioning any of the nearly 100 follow-up studies that Joe Rudman discussed at Digital Humanities 2012). And as one reads Pennebaker's forays *inter alia* into detecting psychological health, emotions, corporate and regional identity, authority in relationships, literature, authorship attribution, and political appeal – all on the basis of function word distributions, Maslow's (1966) remark is hard to repress: "[...] it is tempting, if the only tool you have is a hammer, to treat everything as if it were a nail."

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