

# Quantitative Social Dialectology: Explaining Linguistic Variation Geographically and Socially

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## 1 Abstract

2 In this study we examine linguistic variation and its dependence on both social and geographic factors.  
3 We follow dialectometry in applying a quantitative methodology and focusing on dialect distances, and  
4 social dialectology in the choice of factors we examine in building a model to predict word pronunciation  
5 distances from the standard Dutch language to 424 Dutch dialects. We combine linear mixed-effects  
6 regression modeling with generalized additive modeling to predict the pronunciation distance of 559 words.  
7 Although geographical position is the dominant predictor, several other factors emerged as significant.  
8 The model predicts a greater distance from the standard for smaller communities, for communities with  
9 a higher average age, for nouns (as contrasted with verbs and adjectives), for more frequent words, and  
10 for words with relatively many vowels. The impact of the demographic variables, however, varied from  
11 word to word. For a majority of words, larger, richer and younger communities are moving towards the  
12 standard. For a smaller minority of words, larger, richer and younger communities emerge as driving  
13 a change away from the standard. Similarly, the strength of the effects of word frequency and word  
14 category varied geographically. The peripheral areas of the Netherlands showed a greater distance from  
15 the standard for nouns (as opposed to verbs and adjectives) as well as for high-frequency words, compared  
16 to the more central areas. Our findings indicate that changes in pronunciation have been spreading (in  
17 particular for low-frequency words) from the Hollandic center of economic power to the peripheral areas  
18 of the country, meeting resistance that is stronger wherever, for well-documented historical reasons, the  
19 political influence of Holland was reduced. Our results are also consistent with the theory of lexical  
20 diffusion, in that distances from the Hollandic norm vary systematically and predictably on a word by  
21 word basis.

## 22 Introduction

23 In this study we integrate the approaches of two fields addressing linguistic variation, dialectometry and  
24 (social) dialectology. Dialectology is the older discipline, where researchers focus on a single or small set  
25 of linguistic features in their analysis. Initially the focus in this field was on dialect geography [1, Ch. 2],  
26 where the distribution of these features was visualized on a map. Later, dialectologists more and more  
27 realized the importance of social variation. The work of Labov and later Trudgill has been very influential  
28 in this regard [2, 3]. Social dialectologists have often examined both social and linguistic influences on  
29 individual linguistic features, generally using logistic regression designs [4], but more recently also using  
30 mixed-effects regression modeling [5].

31 Dialectometry was pioneered by Jean Séguy, who calculated aggregate dialect distances based on the  
32 number of mismatching linguistic items between pairs of sites [6] and used a regression design to examine  
33 the influence of geography on these aggregate distances [7]. Since then other researchers, among others,  
34 Goebel, Heeringa and Nerbonne, and Kretzschmar, have refined the (computational and quantitative)  
35 techniques to measure and interpret these aggregate dialect distances [8–10]. We follow dialectometry in  
36 viewing linguistic distance for hundreds of individual words as our primary dependent variable.

37 While the social dimension is a very important aspect in dialectology, it has been less important  
38 in dialectometry where the main focus still lies on dialect geography [11]. Of course there are some  
39 exceptions in which (for example) the diachronic perspective is taken into account [12, 13], or age and  
40 gender are considered as covariates [14], but to our knowledge no dialectometric study has attempted to  
41 model the effects of multiple geographic and social variables simultaneously.

42 Dialectometry has also been criticized for focusing too much on the aggregate level of linguistic dif-  
43 ferences [15, 16], thereby neglecting the level of linguistic structure where individual words and linguistic  
44 properties are important. Acknowledging honorable exceptions [11], we concede that the focus in di-  
45 alectometry has been on aggregate levels, but the strength of the present analysis is that it focuses on  
46 individual words in addition to aggregate distances predicted by geography.

47 This quantitative social dialectological study is the first to investigate the effect of a range of social  
48 and lexical factors on a large set of dialect distances. In the following we will focus on building a  
49 model to explain the pronunciation distance between dialectal pronunciations (in different locations) and  
50 standard Dutch for a large set of distinct words. Of course, choosing standard Dutch as the reference

51 pronunciation is not historically motivated, as standard Dutch is not the proto-language. However, the  
52 standard language remains an important reference point for two reasons. First, as noted by Kloeke, in  
53 the 16th and 17th centuries individual sound changes have spread from the Hollandic center of economic  
54 and political power to the more peripheral areas of the Netherlands [17]. Furthermore, modern Dutch  
55 dialects are known to be converging to the standard language [13, 18, pp. 355–356]. We therefore expect  
56 geographical distance to reveal a pattern consistent with Kloeke’s ‘Hollandic Expansion’, with greater  
57 geographical distance correlating with greater distance from the Hollandic standard.

58 Kloeke also pointed out that sound changes may proceed on a word-by-word basis [17]. The case  
59 for lexical diffusion was championed by Wang and contrasts with the Neogrammarian view that sound  
60 changes are exceptionless and apply to all words of the appropriate form to undergo the change [19].  
61 The Neogrammarian view is consistent with waves of sound changes emanating from Holland to the  
62 outer provinces, but it predicts that lexical properties such as a word’s frequency of occurrence and its  
63 categorial status as a noun or verb should be irrelevant for predicting a region’s pronunciation distance  
64 to the standard language.

65 In order to clarify the extent to which variation at the lexical level co-determines the dialect landscape  
66 in the Netherlands, we combine generalized additive modeling (which allows us to model complex non-  
67 linear surfaces) with mixed-effects regression models (which allow us to explore word-specific variation).  
68 First, however, we introduce the materials and methods of our study.

## 69 **Materials and methods**

### 70 **Pronunciation data**

71 The Dutch dialect data set contains phonetic transcriptions of 562 words in 424 locations in the Nether-  
72 lands. Figure 1 shows the distribution of the locations over the Netherlands together with the province  
73 names. Wieling, Heeringa and Nerbonne selected the words from the Goeman-Taeldeman-Van Reenen-  
74 Project (GTRP; [20]) specifically for an analysis of pronunciation variation in the Netherlands and Flan-  
75 ders [13]. The transcriptions in the GTRP were made by several transcribers between 1980 and 1995,  
76 making it currently the largest contemporary Dutch dialect data set available. The word categories  
77 include mainly verbs (30.8%), nouns (40.3%) and adjectives (20.8%). The complete list of words is pre-  
78 sented in [13]. For the present study, we excluded 3 words of the original set (*gaarne*, *geraken* and *ledig*)



Figure 1. Distribution of locations in the GTRP including province names.

79 as it turned out these words also varied lexically. The standard Dutch pronunciation of all 559 words was  
 80 transcribed by one of the authors based on [21].

81 Because the set of words included common words (e.g., ‘walking’) as well as less frequent words (e.g.,  
 82 ‘oats’), we included word frequency information, extracted from the CELEX lexical database [22], as an  
 83 independent variable.

## 84 Social data

85 Besides the information about the speakers recorded by the GTRP compilers, such as year of recording,  
 86 gender and age of the speaker, we extracted additional demographic information about each of the 424  
 87 places from Statistics Netherlands [23]. We obtained information about the average age, average income,  
 88 number of inhabitants (i.e. population size) and male-female ratio in every location in the year 1995  
 89 (approximately coinciding with the end of the GTRP data collection period). As Statistics Netherlands  
 90 uses three measurement levels (i.e. neighborhood, district and municipality), we manually selected the  
 91 appropriate level for every location.

## 92 Obtaining pronunciation distances

93 For all 424 locations, the pronunciation distance between standard Dutch and the dialectal pronunciations  
 94 was calculated by using the Levenshtein distance [24]. The Levenshtein distance minimizes the number of  
 95 insertions, deletions and substitutions to transform one pronunciation string into the other. For example,  
 96 the Levenshtein distance between two Dutch variants of the word ‘to bind’, [bɪndən] and [bɛində], is 3:

bɪndən	insert ε	1
bɛɪndən	subst. i/ɪ	1
bɛindən	delete n	1
bɛində		
		3

98 The corresponding alignment is:

b	ɪ	n	d	ə	n
b	ε	i	n	d	ə
1	1				1

99

100 Note that in the example above an alternative optimal alignment substitutes [ɪ] with [ɛ] instead of [i].

101 The regular Levenshtein distance does not distinguish vowels and consonants and therefore may align  
 102 a vowel with a consonant. To enforce linguistically sensible alignments, a syllabicity constraint is normally  
 103 added such that vowels are not aligned with (non-sonorant) consonants.

104 As shown in the example above, the Levenshtein distance increases with one for every mismatch. Some  
 105 sounds, however, are phonetically closer to each other than other sounds, e.g., /a/ and /ɑ/ versus /a/  
 106 and /i/. A distance measure for two pronunciations should reflect this. Wieling, Prokić and Nerbonne  
 107 introduced a method which uses the relative alignment frequency of sounds to determine their distance  
 108 [25]. Pairs of sounds which are aligned relatively frequently are assigned a low distance, while sounds  
 109 which co-occur relatively infrequently are assigned a high distance. The method is based on calculating  
 110 the Pointwise Mutual Information score (PMI; [26]) between every pair of sounds and was found to  
 111 improve alignments compared to the Levenshtein distance with (and without) the syllabicity constraint. In  
 112 addition, a recent study by Wieling, Margaretha and Nerbonne (submitted) found that the automatically  
 113 determined PMI distances between vowels correspond well with acoustic vowel distances for both Dutch  
 114 and German. A detailed description about the PMI method can be found in [27].

115 As an illustration of the PMI method, consider the alignment of [bɪndən] and [bɛɪndə], now using the  
 116 PMI-based costs:

b		ɪ	n	d	ə	n
b	ɛ	i	n	d	ə	
	0.034	0.020				0.024

118 In contrast to the previous example, the [ɪ] can only be aligned with [i], as the cost between [ɛ] and [ɪ] is  
 119 somewhat higher (0.022).

120 In the following, the pronunciation distances are based on the PMI-based Levenshtein distance. Be-  
 121 cause longer words will likely have a greater pronunciation distance (as more sounds may change) than  
 122 shorter words, we normalize the PMI-based word pronunciation distances by dividing by the alignment  
 123 length.

## 124 Modeling the role of geography: generalized additive modeling

125 Given a fine-grained measure capturing the distance between two pronunciations, a key question from  
 126 a dialectometric perspective is how to model pronunciation distance as a function of the longitude and

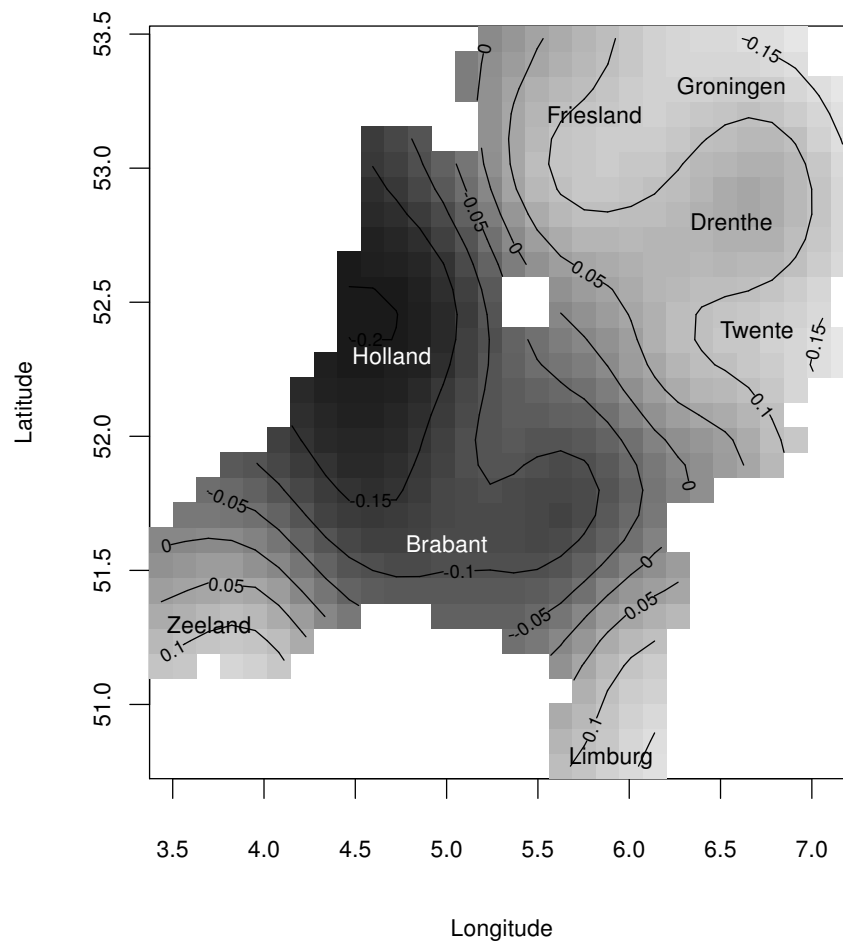
127 latitude of the pronunciation variants. The problem is that for understanding how longitude and latitude  
 128 predict pronunciation distance, the standard linear regression model is not flexible enough. The problem  
 129 with standard regression is that it can model pronunciation distance as a flat plane spanned by longitude  
 130 and latitude (by means of two simple main effects) or as a hyperbolic plane (by means of a multiplicative  
 131 interaction of longitude by latitude). A hyperbolic plane, unfortunately, imposes a very limited functional  
 132 form on the regression surface that for dialect data will often be totally inappropriate.

133 We therefore turned to generalized additive models (GAM), an extension of multiple regression that  
 134 provides flexible tools for modeling complex interactions describing wiggly surfaces. For isometric pre-  
 135 dictors such as longitude and latitude, thin plate regression splines are an excellent choice. Thin plate  
 136 regression splines model a complex, wiggly surface as a weighted sum of geometrically simpler, analyt-  
 137 ically well defined, surfaces [28]. The details of the weights and smoothing basis functions are not of  
 138 interest for the user, they are estimated by the GAM algorithms such that an optimal balance between  
 139 undersmoothing and oversmoothing is obtained, using either generalized cross-validation or relativized  
 140 maximum likelihood (see [29] for a detailed discussion). The significance of a thin plate regression spline  
 141 is assessed with an  $F$ -test evaluating whether the estimated degrees of freedom invested in the spline yield  
 142 an improved fit of the model to the data. Generalized additive models have been used successfully in  
 143 modeling experimental data in psycholinguistics, see [30] for evoked response potentials, and see [31–33]  
 144 for chronometric data. They are also widely used in biology, see, for instance, [34] for spatial explicit  
 145 modeling in ecology.

146 For our data, we use a generalized additive model to provide us with a two-dimensional surface  
 147 estimator (based on the combination of longitude and latitude) of pronunciation distance using thin-  
 148 plate regression splines as implemented in the `mgcv` package for R [29]. Figure 2 presents the resulting  
 149 regression surface using a contour plot. The (solid) contour lines represent distance isoglosses. Darker  
 150 shades of gray indicate smaller distances, lighter shades of gray represent greater distances to the standard  
 151 language.

152 The general geographic pattern fits well with Kloeke’s hypothesis of a Hollandic expansion: As we  
 153 move away from Holland, pronunciation distances increase [17]. Kloeke showed that even in the sixteenth  
 154 and seventeenth centuries the economic and political supremacy of the provinces of North and South  
 155 Holland led to the spread of Hollandic speech norms to the outer provinces.

156 We can clearly identify the separation from the standard spoken in the provinces of North and South



**Figure 2. Contour plot obtained with a generalized additive model.** The contour plot shows a regression surface of pronunciation distance as a function of longitude and latitude obtained with a generalized additive model using a thin plate regression spline. The (black) contour lines represent distance isoglosses, darker shades of gray indicate smaller distances closer to the standard language, lighter shades of gray represent greater distances. Note that the empty square indicates the location of the IJsselmeer, a large lake in the Netherlands.



157 Holland (central west) of the province of Friesland (in the north), the Low Saxon dialects spoken in  
 158 Groningen and Drenthe (in the northeast), and the Franconian dialects of Zeeland (in the southwest) and  
 159 Limburg (southeast). The 28.69 estimated degrees of freedom invested in the thin plate regression spline  
 160 were supported by an  $F$ -value of 1051 ( $p < 0.0001$ ). The local cohesion in Figure 2 makes sense, since  
 161 nearby locations tend to speak varieties which are relatively similar [35].

## 162 **Mixed-effects modeling**

163 A problem with this GAM model is that the random-effects structure of our data set is not taken into  
 164 account. In mixed-effects regression modeling (for introductions, see, e.g., [36–38]), a distinction is made  
 165 between fixed-effect and random-effect factors. Fixed-effect factors are factors with a small number of  
 166 levels that exhaust all possible levels (e.g., the gender of a speaker is either male or female). Random-  
 167 effect factors, by contrast, have levels sampled from a much larger population of possible levels. In our  
 168 data, there are three random-effect factors that are likely to introduce systematic variation that is ignored  
 169 in our generalized additive model.

170 A first random-effect factor is location. Our observations are made at 424 locations where speakers  
 171 were interviewed. Since these 424 locations are a sample of a much larger set of communities that might  
 172 have been sampled, location is a random-effect factor. Because we used the pronunciations of a single  
 173 speaker at a given location, location is confounded with speaker. Hence, our random-effect factor location  
 174 represents both location and speaker.

175 The data obtained from the 424 locations were coded phonetically by 30 different transcribers. Since  
 176 these transcribers are themselves a sample of a larger set of possible transcribers, transcriber is a second  
 177 random-effect factor in our model. By including transcriber in our model, we can account for biases in  
 178 how individuals positioned the data that they listened to with respect to the standard language.

179 The third random-effect factor is word. Each of the 559 words was pronounced in most of the 424  
 180 locations. The words are also sampled from a much larger population of words, and hence constitute a  
 181 random-effect factor as well.

182 In mixed-effect models, random-effect factors are viewed as sources of random noise that can be linked  
 183 to specific observational units, in our case, locations, transcribers, and words. In the simplest case, the  
 184 variability associated with a given random-effect factor is restricted to adjustments to the population  
 185 intercept. For instance, some transcribers might be biased towards the standard language, others might

186 be biased against it. These biases are assumed to follow a normal distribution with mean zero and  
187 unknown standard deviation to be estimated from the data. Once these biases have been estimated, it is  
188 possible to adjust the population intercept so that it becomes precise for each individual transcriber. We  
189 will refer to these adjusted intercepts as – in this case – by-transcriber random intercepts.

190 It is possible, however, that the variation associated with a random-effect factor affects not only  
191 the intercept, but also the slopes of other predictors. We shall see below that in our data the slope of  
192 population size varies with word, indicating that the strength of population size is not the same for all  
193 words. A mixed-effects model will estimate the by-word biases in the slope of population size, and by  
194 adding these estimated biases to the general population size slope, by-word random slopes are obtained  
195 that make the estimated effect of population size as precise as possible for each word.

196 Whether random intercepts and random slopes are justified is verified by means of likelihood ratio  
197 tests, which evaluate whether the increase in the number of parameters is justified given the increase in  
198 goodness of fit.

199 Statistical models combining mixed-effects regression and generalized additive modeling are currently  
200 under development. We have explored the `gamm4` package for R developed by Wood, but this package  
201 proved unable to cope with the rich random effects structure characterizing our data. We therefore used  
202 the generalized additive model simply to predict the pronunciation distance from longitude and latitude,  
203 without including any further predictors. We then use the fitted values of this simple model (see Figure 2)  
204 as a predictor representing geography in our final model. (The same approach was taken by Schmidt and  
205 colleagues, who also failed to use the `gamm4` package successfully [34].) In what follows, we refer to these  
206 fitted values as the GAM distance.

207 In our analyses, we considered several other predictors in addition to GAM distance and the three  
208 random-effect factors location, transcriber, and word. We included a contrast to distinguish nouns (and  
209 adverbs, but those only occur infrequently) from verbs and adjectives. Other lexical variables we included  
210 were word frequency, the length of the word, and the vowel-consonant ratio in the standard Dutch  
211 pronunciation of each word. The location-related variables we investigated were average age, average  
212 income, male-female ratio and the total number of inhabitants in every location. Finally, the speaker-  
213 and transcriber-related variables we extracted from the GTRP were gender, year of birth, year of recording  
214 and gender of the fieldworker (not necessarily being the same person as the transcriber). Unfortunately,  
215 for about 5% of the locations the information about gender, year of birth and year of recording was

216 missing. As information about the employment of the speaker or speaker’s partner was missing even  
217 more frequently (in about 17% of the locations), we did not include this variable in our analysis.

218 A recurrent problem in large-scale regression studies is collinearity of the predictors. For instance,  
219 in the Netherlands, communities with a larger population and higher average income are found in the  
220 west of the country. In order to facilitate interpretation, and to avoid enhancement or suppression due to  
221 correlations between the predictor variables [39], we decorrelated such predictors from GAM distance by  
222 using as predictor the residuals of a linear model regressing that predictor on GAM distance. For average  
223 age as well as for population count, the resulting residuals correlated highly with the original values ( $r \geq$   
224 0.97), indicating that the residuals can be interpreted in the same way as the original values. Because  
225 average income and average population age were also correlated ( $r = 0.44$ ) we corrected the variable  
226 representing the average population age for the effect of average income.

227 In order to reduce the potentially harmful effect of outliers, various numerical predictors were log-  
228 transformed. We scaled all numerical predictors by subtracting the mean and dividing by the standard  
229 deviation in order to facilitate the interpretation of the fitted parameters of the statistical model. Our  
230 dependent variable, the pronunciation distance per word from standard Dutch (averaged by alignment  
231 length) was also log-transformed and centered. The value 0 indicates the mean distance from the standard  
232 pronunciation, while negative values indicate a distance closer and positive values indicate a distance  
233 farther away from standard Dutch.

234 The significance of fixed-effect predictors was evaluated by means of the usual  $t$ -test for the coefficients,  
235 in addition to model comparison likelihood ratio tests and AIC (Akaike Information Criterion; [40]).  
236 Since our data set contains a very large number of observations (a few hundred thousand items), the  
237  $t$ -distribution approximates the standard normal distribution and factors will be significant ( $p < 0.05$ )  
238 when they have an absolute value of the  $t$ -statistic exceeding 2 [37]. A one-tailed test (only applicable  
239 with a clear directional hypothesis) is significant when the absolute value of the  $t$ -statistic exceeds 1.65.

## 240 Results

241 The total number of cases of our original data set was 228,476 (not all locations have pronunciations for  
242 every word). To reduce the effect of noise in the transcriptions, we eliminated all items in our data set  
243 with a pronunciation distance from standard Dutch larger than 2.5 standard deviations above the mean

244 pronunciation distance for each word. Because locations in the province of Friesland are characterized by  
245 having a separate language (Frisian) with a relatively large distance from standard Dutch, we based the  
246 exclusion of items on the means and standard deviation for the Frisian and non-Frisian area separately.  
247 After deleting 2610 items (1.14%), our final data set consisted of 225,866 items.

248 We fitted a mixed-effects regression model to the data, step by step removing predictors that did  
249 not contribute significantly to the model fit. In the following we will discuss the specification of the  
250 resulting model including all significant predictors and verified random-effect factors. This model explains  
251 approximately 44.5% of the variance of our dependent variable (i.e. the linguistic distance compared to  
252 standard Dutch).

253 The coefficients and associated statistics of the fixed-effect factors and covariates are shown in Table 1  
254 (note that most values in the table are close to 0 as we are predicting average PMI distances, which  
255 are small numbers). The random-effect structure is summarized in Table 2. The residuals of our model  
256 followed a normal distribution, and did not reveal any non-uniformity with respect to location.

257 The inclusion of the fixed-effect factors (except average population income) and random-effect factors  
258 shown in Table 1 and 2 was supported by likelihood ratio tests indicating that the additional parameters  
259 significantly improved the goodness of fit of the model. Tables 3 and 4 show the increase of the goodness of  
260 fit for every additional factor measured by the increase of the log-likelihood and the decrease of the Akaike  
261 Information Criterion [40]. To assess the influence of each additional fixed-effect factor, the random effects  
262 were held constant, including only the random intercepts for word, location and transcriber. The baseline  
263 model, to which the inclusion of the first fixed-effect factor (geography) was compared, only consisted of  
264 the random intercepts for word, location and transcriber. Subsequently, the next model (including both  
265 geography and the vowel-consonant ratio per word), was compared to the model including geography (and  
266 the random intercepts) only. This is shown in Table 3 (sorted by decreasing importance of the individual  
267 fixed-effect factors). Log-likelihood ratio tests were carried out with maximum likelihood estimation, as  
268 recommended in [36].

269 Similarly, the importance of additional random-effect factors was assessed by restricting the fixed-  
270 effect predictors to those listed in Table 1. The baseline model in Table 4, to which the inclusion of the  
271 random intercept for word was compared, only consisted of the fixed-effect factors listed in Table 1. The  
272 next model (also including location as a random intercept) was compared to the model with only word  
273 as a random intercept. In later steps random slopes were added. For instance, the sixth model (including

	Estimate	Std. Error	<i>t</i> -value
Intercept	-0.0153	0.0105	-1.4561
GAM distance (geography)	0.9684	0.0274	35.3239
Population size (log)	-0.0069	0.0026	-2.6386
Population average age	0.0045	0.0025	1.8049
Population average income (log)	-0.0005	0.0026	-0.1988
Noun instead of Verb/Adjective	0.0409	0.0122	3.3437
Word frequency (log)	0.0198	0.0060	3.2838
Vowel-consonant ratio (log)	0.0625	0.0059	10.5415

**Table 1.** Fixed-effect coefficients of a minimally adequate model fitted to the pronunciation distances from standard Dutch.

274 random slopes for population size and average population age, and their correlation) was compared  
 275 to the fifth model which only included population size as a random slope. Log-likelihood ratio tests  
 276 evaluating random-effects parameters were carried out with relativized maximum likelihood estimation,  
 277 again following [36].

278 Due to the large size of our data set, it proved to be computationally infeasible to include all variables  
 279 in our random-effects structure (e.g., the vowel-consonant ratio was not included). As further gains in  
 280 goodness of fit are to be expected when more parameters are invested in the random-effects structure,  
 281 our model does not show the complete (best) random-effects structure. However, we have checked that  
 282 the fixed-effect factors remained significant when additional uncorrelated by-location or by-word random  
 283 slopes were included in the model specification. In other words, we have verified that the fixed-effects  
 284 *t*-values in Table 1 are not anti-conservative and therefore our results remain valid.

## 285 Demographic predictors

286 The geographical predictor GAM distance (see Figure 2) emerged as the predictor with the smallest  
 287 uncertainty concerning its slope, as indicated by the huge *t*-value. As GAM distance represents the fitted  
 288 values of a GAM fitted to pronunciation distance (adjusted  $R^2 = 0.12$ ), the strong statistical support for  
 289 this predictor is unsurprising. Even though GAM distance accounts for a substantial amount of variance,  
 290 location is also supported as a significant random-effect predictor, indicating that there are differences  
 291 in pronunciation distances from the standard language that cannot be reduced to geographical location.  
 292 The random-effect factor location, in other words, represents systematic variability that can be traced  
 293 to the different locations (or speakers) but that resists explanation through our demographic fixed-effect

Factors	Rnd. effects	Std. Dev.	Cor.	
Word	Intercept	0.1394		
	Pop. size (log)	0.0186		
	Pop. avg. age	0.0086	-0.856	
	Pop. avg. income (log)	0.0161	0.867	-0.749
Location	Intercept	0.0613		
	Word freq. (log)	0.0161	-0.084	
	Noun instead of Verb/Adjective	0.0528	-0.595	0.550
Transcriber	Intercept	0.026		
Residual		0.2233		

**Table 2.** Random-effect parameters of the minimally adequate model fitted to the pronunciation distances from standard Dutch. The column Cor. contains the correlations between the random slopes and/or intercepts. The first number in the first correlation column for the by-word random slopes represents the correlation between the by-word random slope for population size and the by-word random slope for average age, while the second number represents the correlation between the by-word random slope for population size and the by-word random slope for average income. The first number in the second column represents the correlation between the by-word random slopes of average income and average age. Similarly, the first correlation column for the by-location random slopes contains the correlations between the by-location random intercept and the random slope for word frequency and the noun-verb contrast, respectively. The second column contains the correlation between the by-location random slopes for word frequency and the noun-verb contrast. See the text for interpretation.

294 predictors. To what extent, then, do these demographic predictors help explain pronunciation distance  
 295 from the standard language over and above longitude, latitude, and the location (speaker) itself?

296 Table 1 lists two demographic predictors that reached significance. First, locations with many inhab-  
 297 itants (a large population size) tend to have a lower distance from the standard language than locations  
 298 with few inhabitants. A possible explanation for this finding is that people tend to have weaker social  
 299 ties in urban populations, which causes dialect leveling [41]. Since the standard Dutch language has an  
 300 important position in the Netherlands [18, 42], and has been dominant for many centuries [17], conver-  
 301 sations between speakers of different dialects will normally be held in standard Dutch and consequently  
 302 leveling will proceed in the direction of standard Dutch. The greater similarity of varieties in settlements  
 303 of larger size is also consistent with the predictions of the gravity hypothesis which states that linguistic  
 304 innovation proceeds first from large settlements to other large nearby settlements, after which smaller  
 305 settlements adopt the innovations from nearby larger settlements [43].

306 The second (one-tailed) significant demographic covariate is the average age of the inhabitants of a  
 307 given location. Since younger people tend to speak less in their dialect and more in standard Dutch than  
 308 the older population [12, 18, pp. 355–356], the positive slope of average age is as expected.

	Log-lik. increase	AIC decrease	Likelihood ratio test
Random intercepts			
+ GAM distance (geography)	270.6	539.2	p < 0.0001
+ Vowel-consonant ratio (log)	50.9	99.8	p < 0.0001
+ Noun instead of Verb/Adjective	5.6	9.2	p = 0.0008
+ Population size	3.8	5.7	p = 0.0056
+ Word frequency (log)	3.8	5.7	p = 0.0056
+ Population average age	2.5	3.1	p = 0.0244
+ Population average income (log)	0.0	-2.0	p = 0.9554

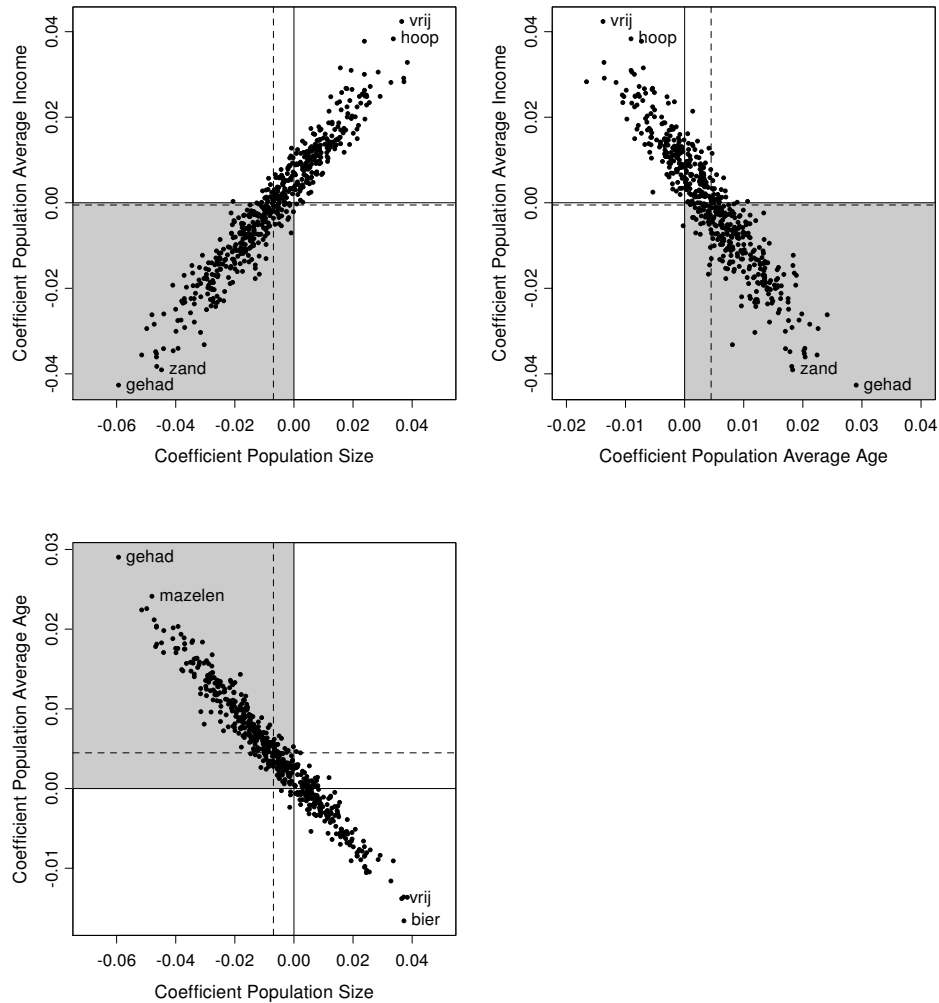
**Table 3.** Goodness of fit of the fixed-effect factors of the model. Each row specifies the increase in goodness of fit obtained by adding the current predictor to the model including all preceding predictors (as well as the random intercepts for word, location and transcriber). Note that the final row indicates that population average income does not improve the model.

	Log-lik. increase	AIC decrease	Likelihood ratio test
Fixed-effect factors			
+ Random intercept word	32797.8	65593.6	p < 0.0001
+ Random intercept location	5394.2	10786.4	p < 0.0001
+ Random intercept transcriber	14.0	26.1	p < 0.0001
+ Population size (word)	490.3	978.6	p < 0.0001
+ Population average age (word)	96.0	188.0	p < 0.0001
+ Population average income (word)	443.9	881.8	p < 0.0001
+ Word frequency (location)	220.1	436.3	p < 0.0001
+ Noun instead of Verb/Adj. (location)	1064.4	2122.8	p < 0.0001

**Table 4.** Goodness of fit of the random-effect factors of the model. Each row specifies the increase in goodness of fit of the model resulting from inclusion of the specified random slopes to the preceding model. All models include the fixed effect factors listed in Table 1.

309 Note that Table 1 also contains average income as a demographic covariate. This variable is not  
310 significant in the fixed-effect part of the model (as the absolute  $t$ -value is lower than 1.65), but is included  
311 as it is an important predictor in the random-effects structure of the model.

312 Interestingly, all three demographic predictors required by-word random slopes. Figure 3 shows the  
313 random slopes for all words for all combinations of population size (i.e. the number of inhabitants), average  
314 age and average income in every location. At the extremes in every graph, the words themselves have  
315 been added to the scatterplot (*gehad*, ‘had; *zand*, ‘sand’; *hoop*, ‘hope’; *vrij*, ‘free’; *mazelen*, ‘measles’; *bier*,  
316 ‘beer’). The grey quadrant in every graph marks where most words are located. Words in this quadrant  
317 have slopes consistent with the general model (the model estimates shown in Table 1 are indicated by



**Figure 3. By-word random slopes in a mixed-effects model fitted to pronunciation distances from standard Dutch.** All combinations of by-word random slopes (i.e. the word-specific coefficients) for population size, age and income are shown. The grey quadrant in every graph marks where most words (dots) are located. The dashed lines indicate the model estimates of every predictor.



318 the dashed lines).

319 When looking at the top-left graph, we see that most words (represented by dots) are located in  
 320 the lower left quadrant, consistent with the negative slope of population size (-0.0069) and the (non-  
 321 significant) negative slope of average income (-0.0005; see Table 1). Words in this quadrant have negative  
 322 slopes for population size, indicating that these words will tend to be more similar to the standard in  
 323 larger communities (the more to the left the dot is located, the more similar it will be to the standard  
 324 language). At the same time, the same words also have negative slopes for average income, indicating  
 325 that these words will tend to be more similar to the standard in richer communities (the lower the dot  
 326 is located, the more similar it will be to the standard language). This pattern reverses for the words  
 327 in the opposite quadrant. A word such as *vrij* (free) has a large positive coefficient for population size,  
 328 indicating that in larger communities this word will differ more from the standard. The word *vrij* also has  
 329 a positive coefficient for average income. Therefore, speakers in poorer communities will pronounce the  
 330 word closer to the standard, while speakers in richer communities will pronounce it more differently. The  
 331 correlation parameter of 0.867 in Table 2 quantifies the strong connection between the by-word random  
 332 slopes for average income and population size.

333 The top-right graph illustrates that the coefficients of average age and average income are also closely  
 334 linked per word (indicated by the high correlation parameter of -0.749 in Table 2). Words in the grey  
 335 quadrant behave in accordance with the general model (e.g., the word *gehad* will be more similar to the  
 336 standard language in a richer community as well as in a younger community), while words in the opposite  
 337 quadrant behave in a reversed fashion (e.g., the word *vrij* will differ more from the standard in a richer  
 338 community as well as in a younger community).

339 Finally, the bottom-left graph shows that the coefficients of population size and average age are also  
 340 closely connected per word (indicated by the high correlation parameter of -0.856 in Table 2). Words in  
 341 the grey quadrant behave in accordance with the general model (e.g., the word *gehad* will be more similar  
 342 to the standard language in a larger community as well as in a younger community), while words in the  
 343 opposite quadrant behave in a reversed fashion (e.g., the word *bier* will differ more from the standard in  
 344 a larger community as well as in a younger community).

345 Two important points emerge from this analysis. First, the effects of the three demographic variables,  
 346 population size, average age and average income, differ dramatically depending on what word is being  
 347 considered. Second, words tend to be influenced by all three demographic variables similarly. If a word is

348 influenced more strongly by one variable than predicted by the general model, it will also be influenced  
349 more strongly by the other two variables (e.g., the word *gehad*). Alternatively, if a word is influenced in  
350 the reverse direction by one variable compared to the general model, it will likely also be influenced in  
351 the reverse direction by the other two variables (e.g., the word *vrij*).

352 Besides these significant variables, we investigated several other demographic predictors that did not  
353 reach significance. One variable we considered was the male-female ratio at a given location. While the  
354 gender of the speaker is likely to play an important role, we are uncertain if the ratio of men versus  
355 women in a location should play a significant role. With other predictors in the model, it did not prove  
356 significant. We also expected a negative influence of average income on the pronunciation distance from  
357 the standard, since standard Dutch has a relatively high prestige [18, Ch. 12]. However, as shown in  
358 Table 1, this effect did not reach significance, possibly due to the large collinearity with geography; the  
359 highest average income in the Netherlands is earned in the western part of the Netherlands [23], where  
360 dialects are also most similar to standard Dutch [44, p. 274]. Average income was highly significant when  
361 geography was excluded from the model.

362 No speaker-related variables were included in the final model. We were surprised that the gender  
363 of the speaker did not reach significance, as the importance of this factor has been reported in many  
364 sociolinguistic studies [45]. However, when women have a limited social circle (e.g., the wife of a farmer  
365 living on the outskirts of a small rural community), they actually tend to speak more traditionally than  
366 men [18, p. 365]. Since such women are certainly present in our data set, this may explain the absence of  
367 a gender difference in our model. We also expected speaker age to be a significant predictor, since dialects  
368 are leveling in the Netherlands [12, 18, pp. 355–356]. However, as the speakers were relatively close in age  
369 (e.g., 74% of the speakers were born between 1910 and 1930) and we only used pronunciations of a single  
370 speaker per location, this effect might have been too difficult to detect in our data set.

371 The two fieldworker-related factors (gender of the fieldworker and year of recording) were not very  
372 informative, because they suffered from substantial geographic collinearity. With respect to the year of  
373 recording, we found that locations in Friesland were visited quite late in the project, while their distances  
374 from standard Dutch were largest. Regarding the gender of the fieldworkers, female fieldworkers mainly  
375 visited the central locations in the Netherlands, while the male fieldworkers visited the more peripheral  
376 areas (where the pronunciation distance from standard Dutch is larger).

## 377 Lexical predictors

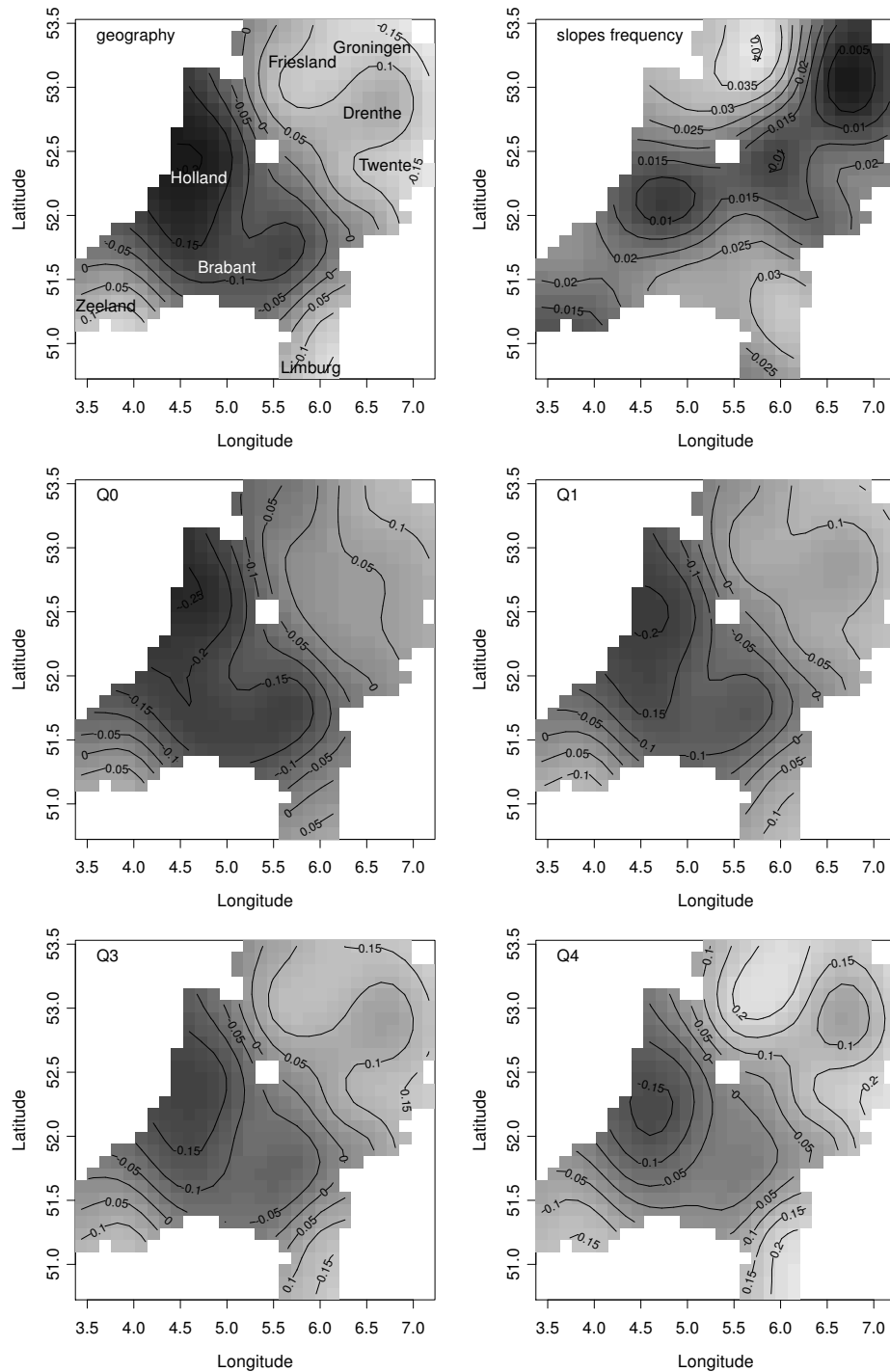
378 Table 1 lists three lexical predictors that reached significance: the vowel-to-consonant ratio, word fre-  
 379 quency and the contrast between nouns and verbs. Unsurprisingly, the length of the word was not a  
 380 significant predictor, as we normalized pronunciation distance by the alignment length.

381 The first significant lexical factor was the vowel-to-consonant ratio. The general effect of the vowel-  
 382 to-consonant ratio was linear, with a greater ratio predicting a greater distance from the standard. As  
 383 vowels are much more variable than consonants (e.g., [46]), this is not a very surprising finding.

384 The second, more interesting, significant lexical factor was word frequency. More frequent words  
 385 tend to have a higher distance from the standard. We remarked earlier that Dutch dialects tend to  
 386 converge to standard Dutch. A larger distance from the standard likely indicates an increased resistance  
 387 to standardization. Indeed, given the recent study of Pagel and colleagues, where they show that more  
 388 frequent words are more resistant to change [47], this seems quite sensible.

389 However, the effect of word frequency is not uniform across locations, as indicated by the presence of  
 390 by-location random slopes for frequency in our model (see Table 2). The parameters for these random  
 391 slopes (the standard deviation for the random slopes and the correlation parameter for the random slopes  
 392 and intercepts) jointly increase the log-likelihood of the model by no less than 220 units, compared to  
 393 3.8 log-likelihood units for the fixed-effect (population) slope of frequency. Interestingly, although the  
 394 by-location random slopes for frequency properly follow a normal distribution, they are not uniformly  
 395 distributed across the different regions of the Netherlands, as illustrated in the upper right panel of Figure  
 396 4. In this panel, contour lines link locations for which the slope of the frequency effect is the same. The  
 397 two dark grey areas (central Holland and Groningen and Drenthe) are characterized by slopes close to  
 398 zero, while the white area in Friesland indicates a large positive slope (i.e. the Frisian pronunciations  
 399 become more distinct from standard Dutch for higher frequency words).

400 To clarify how geography (GAM distance) and frequency jointly predict distance from the standard  
 401 language, we first calculated the fitted GAM distance for each location. We then estimated the predicted  
 402 distance from the standard language using GAM distance and word frequency as predictors, weighted  
 403 by the weights estimated by our mixed-effects model. Because the fitted surfaces vary with frequency,  
 404 we selected the minimum frequency (Q0), first (Q1) and third (Q3) quartiles as well as the maximum  
 405 frequency (Q4) for visualization (see the lower panels in Figure 4). Panel Q0 shows the surface for the  
 406 words with the lowest frequency in our data. As frequency increased, the surface gradually morphs into



**Figure 4. Word frequency and distance from the standard language.** Upper left: distance predicted only from longitude and latitude. Upper right: the geographical distribution of random slopes for word frequency. Lower four panels: the combined effect of geography and word frequency on pronunciation distance for the minimum frequency (Q0), the first (Q1) and third quartile (Q3) and the maximum frequency (Q4). Darker shades of gray denote smaller values, lighter shades indicate larger values.

407 the surface shown in the lower right panel (Q4). The first thing to note is that as frequency increases, the  
408 shades of grey become lighter, indicating greater differences from the standard. This is the main effect of  
409 frequency: higher-frequency words are more likely to resist assimilation to the standard language. The  
410 second thing to note is that the distances between the contour lines decrease with increasing frequency,  
411 indicating that the differences between regions with respect to the frequency effect become increasingly  
412 more pronounced. For instance, the Low Saxon dialect of Twente on the central east border with Germany,  
413 and the Frisian varieties in the north profile themselves more clearly as different from the Hollandic  
414 standard for the higher-frequency words (Q4) than for the lower-frequency words (Q0).

415 For the lowest-frequency words (panel Q0), the northeast separates itself from the Hollandic sphere of  
416 influence, with distance slowly increasing towards the very northeast of the country. This area includes  
417 Friesland and the Low Saxon dialects. As word frequency increases, the distance from standard Dutch  
418 increases, and most clearly so in Friesland. For Friesland, this solid resistance to the Hollandic norm,  
419 especially for high-frequency words, can be attributed to Frisian being a different language that is mutually  
420 unintelligible with standard Dutch.

421 Twente also stands out as highly resistant to the influence of the standard language. In the 16th and  
422 17th centuries, this region was not under firm control of the Dutch Republic, and Roman Catholicism  
423 remained stronger here than in the regions towards its west and north. The resistance to protestantism  
424 in this region may have contributed to its resistance to the Hollandic speech norms (see also [48]).

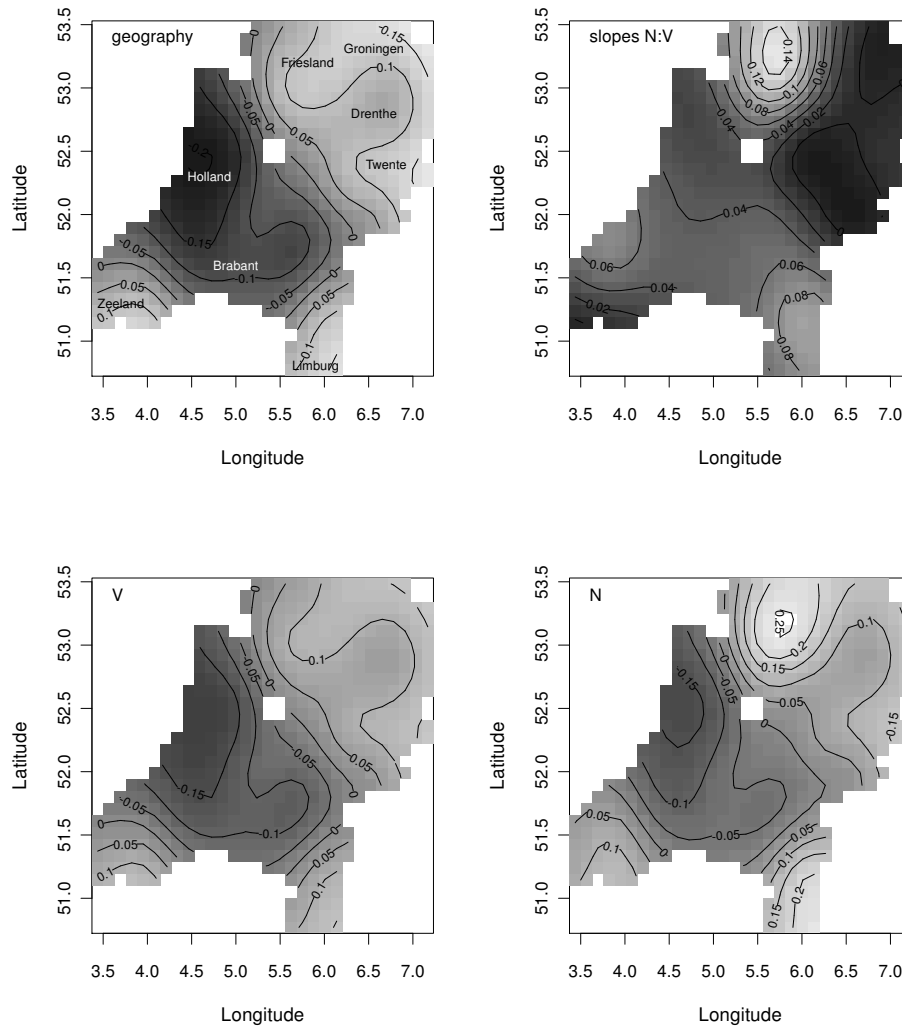
425 In the southwest (Zeeland) and the southeast (Limburg), we find Low Franconian dialects that show  
426 the same pattern across all frequency quartiles, again with increased distance from Holland predicting  
427 greater pronunciation distance. The province of Limburg has never been under firm control of Holland for  
428 long, and has a checkered history of being ruled by Spain, France, Prussia, and Austria before becoming  
429 part of the kingdom of the Netherlands. Outside of the Hollandic sphere of influence, it has remained  
430 closer to dialects found in Germany and Belgium. The province of Zeeland, in contrast, has retained  
431 many features of an earlier linguistic expansion from Flanders — in the middle ages, Flanders had strong  
432 political influence in Zeeland. Zeeland was not affected by an expansion from Brabant (which is found  
433 in the central south of the Netherlands as well as in Belgium), but that expansion strongly influenced  
434 the dialects of Holland. This Brabantic expansion, which took place in the late middle ages up to the  
435 seventeenth century, clarifies why, across all frequency quartiles, the Brabantic dialects are most similar  
436 to the Hollandic dialects.

437 Our regression model appears to conflict with the view of Kloeke (which was also adopted by Bloom-  
438 field) that high-frequency words should be more likely to undergo change than low-frequency words [17,49].  
439 This position was already argued for by Schuchardt, who discussed data suggesting that high-frequency  
440 words are more profoundly affected by sound change than low-frequency words [50]. Bybee called at-  
441 tention to language-internal factors of change that are frequency-sensitive [51]. She argued that changes  
442 affecting high-frequency words first would be a consequence of the overlap and reduction of articulatory  
443 gestures that comes with fluency. In contrast, low-frequency words would be more likely to undergo  
444 analogical leveling or regularization.

445 Our method does not allow us to distinguish between processes of articulatory simplification and  
446 processes of leveling or regularization. Moreover, our method evaluates the joint effect of many different  
447 sound changes for the geographical landscape. Our results indicate that, in general, high-frequency words  
448 are most different from the standard. However, high-frequency words can differ from the standard for  
449 very different reasons. For instance, they may represent older forms that have resisted changes that  
450 affected the standard. Alternatively, they may have undergone region-specific articulatory simplification.  
451 Furthermore, since higher-frequency forms are better entrenched in memory [52, 53], they may be less  
452 susceptible to change. As a consequence, changes towards the standard in high-frequency words may be  
453 more salient, and more likely to negatively affect a speaker's in-group status as a member of a dialect  
454 community. Whatever the precise causes underlying their resistance to accommodation to the standard  
455 may be, our data do show that the net outcome of the different forces involved in sound change is one in  
456 which it is the high-frequency words that are most different from the standard language.

457 The third lexical factor that reached significance was the contrast between nouns as opposed to verbs  
458 and adjectives. Nouns have a greater distance from the standard language than verbs and adjectives.  
459 (Further analyses revealed that the effects of verbs and adjectives did not differ significantly.) This finding  
460 harmonizes well with the results of Pagel and colleagues, where they also observed that nouns were most  
461 resistant to change, followed by verbs and adjectives [47].

462 Similar to word frequency, we also observe a non-uniform effect of the contrast between nouns as  
463 opposed to verbs and adjectives across locations, indicated by the presence of the by-location random  
464 slopes for the word category contrast in our model (see Table 2). The parameters for these random slopes  
465 (the standard deviation for the random slopes and the correlation parameter for the random slopes and  
466 intercepts) jointly increase the log-likelihood of the model by 1064 units, compared to 5.6 log-likelihood



**Figure 5. Contrast between nouns as opposed to verbs/adjectives and distance from the standard language.** Upper left: distance predicted only from longitude and latitude. Upper right: the geographical distribution of random slopes for the contrast between nouns as opposed to verbs and adjectives. Bottom panels: the combined effect of geography and the word category on pronunciation distance for verbs/adjectives (panel V) and nouns (panel N). Darker shades of gray denote smaller values, lighter shades indicate larger values.

467 units for the fixed-effect (population) slope of this contrast. These by-location random slopes are not  
468 uniformly distributed across the geographical area, as shown by the upper right panel of Figure 5. This  
469 panel clearly shows that the word category in the north-west of the Netherlands does not influence the  
470 distance from the standard language (i.e. the slope is 0), while in Friesland nouns have a much higher  
471 distance from the standard than verbs or adjectives.

472 To clarify how geography (GAM distance) and the word category contrast jointly predict distance  
473 from the standard language, we first calculated the fitted GAM distance for each location. We then  
474 estimated the predicted distance from the standard language using GAM distance, a fixed (median) word  
475 frequency, and the word category contrast as predictors, weighted by the weights estimated by our mixed-  
476 effects model. Because the fitted surfaces are different for nouns as opposed to verbs and adjectives, we  
477 visualized both surfaces in the bottom panels in Figure 5. The first thing to note is that in panel N the  
478 shades of grey are lighter than in panel V, indicating greater differences from the standard. This is the  
479 main effect of the word category contrast: nouns are more likely to resist assimilation to the standard  
480 language than verbs or adjectives. The second thing to note is that the distances between the contour  
481 lines are smaller for nouns, indicating that the differences between regions are more pronounced for nouns  
482 than for verbs.

483 As the pattern of variation at the periphery of the Netherlands is quite similar to the pattern reported  
484 for high-frequency words (i.e. the peripheral areas are quite distinct from the standard), we will not  
485 repeat its discussion here. The similarity between high-frequency words and nouns (as opposed to verbs  
486 and adjectives) is also indicated by the correlation parameter of 0.550 in Table 2.

## 487 Discussion

488 In this study we have illustrated that several factors play a significant role in determining dialect distances  
489 from the standard language. Besides the importance of geography, we found clear support for three word-  
490 related variables (i.e. the contrast between nouns as opposed to verbs and adjectives, word frequency and  
491 the vowel-consonant ratio in the standard Dutch pronunciation) as well as two variables relating to the  
492 social environment (i.e. the number of inhabitants in a location and the average age of the inhabitants in a  
493 population). These results clearly indicate the need for variationists to consider explanatory quantitative  
494 models which incorporate geographical, social and word-related variables as independent variables.



495 We did not find support for the importance of speaker-related variables such as gender and age. As we  
496 only had a single pronunciation per location, we cannot exclude the possibility that these speaker-related  
497 variables do play an important role. It would be very informative to investigate dialect change in a data  
498 set with speakers of various ages in the same location, using the apparent time construct [54]. In addition,  
499 being able to compare male and female speakers in a single location would give us more insight into the  
500 effect of gender.

501 It is important to note that the contribution of the random-effects structure to the goodness of fit  
502 of the model tends to be one or two orders of magnitude larger than the contributions of the fixed-  
503 effect predictors, with GAM distance (geography) as sole exception. This indicates that the variation  
504 across speakers/locations and across words is huge compared to the magnitude of the effects of the  
505 socio-demographic and lexical predictors.

506 Our model also provides some insight into lexical diffusion. While we did not focus on individual  
507 sound changes, it is clear that the resistance to change at the word level is influenced by several word-  
508 related factors, as well as a number of socio-demographic factors of which the precise effect varies per  
509 word. Consequently, it is sensible to presume that a sound in one word will change more quickly than  
510 the same sound in another word (i.e. constituting a lexically gradual change). However, to make more  
511 precise statements about lexical diffusion as opposed to the lexically abrupt sound changes posited in the  
512 Neogrammarian hypothesis (e.g., see [55] for a discussion of both views), it is necessary to look at the  
513 level of the individual sound correspondences.

514 It would, therefore, be rewarding to develop a model to predict if an individual sound in a dialectal  
515 pronunciation is equal to or different from the corresponding sound in the standard Dutch pronunciation.  
516 As the Levenshtein distance is based on the alignments of sounds, these sound correspondences are already  
517 available. Using a logistic mixed-effects regression model would enable us to determine which factors  
518 predict the (dis)similarity of this sound compared to the sound in the standard Dutch pronunciation.  
519 Of course, this would also increase the computational effort, but since on average every word consists of  
520 about 4 to 5 sounds, this potential study should remain tractable.

521 In the present study, we connected a larger distance from standard Dutch with a greater resistance to  
522 change (i.e. standardization). While this might be true, it is also possible that words do not only change  
523 in the direction of the standard language. Ideally this should be investigated using pronunciations of  
524 identical words at different moments in time. For example, by comparing our data to the overlapping

525 older pronunciations in the *Reeks Nederlandse Dialectatlassen* [56].

526 Instead of using standard Dutch as our reference point, we could also use proto-Germanic, following  
 527 the approach of Heeringa and Joseph [57]. It would be rewarding to see if closer distances from the  
 528 proto-language correspond to larger distances from the standard language. Alternatively, we might study  
 529 the dialectal landscape from another perspective, by selecting a dialectal variety as our reference point.  
 530 For example, dialect distances could be calculated with respect to a specific Frisian or Limburgian dialect.

531 In summary, our quantitative sociolinguistic analysis has found support for lexical diffusion in Dutch  
 532 dialects and has clearly illustrated that convergence towards standard Dutch is most likely in low-frequent  
 533 words. Furthermore we have shown that mixed-effects regression modeling in combination with a gener-  
 534 alized additive model representing geography is highly suitable for investigating dialect distances and its  
 535 determinants.

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