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# THE DEVELOPMENT OF THE HIDEGSÉG AND FERTŐHOMOK VOWEL SYSTEM\*

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#### 1. Introduction

Hidegség and Fertőhomok (henceforth "Hi" and "Fe") are two neighbouring villages near Sopron in the northwest of Hungary. They form part of a group of approximately 80 villages with a Croatian-speaking population in and around the Austrian-Hungarian border region. Apart from the dialects of Hi and Fe, all varieties of Croatian spoken in this area are generally considered Čakavian and Štokavian.<sup>1</sup>

The Kajkavian dialect of Hi and Fe has been referred to relatively often in the literature on Serbo-Croatian dialectology. This is due to its unique position in two respects. Firstly, it is the only surviving Kajkavian dialect that was separated from its original surroundings as early as the sixteenth century. Secondly, there are historical data indicating that these surroundings were in the west part of Slavonia, which is east of the area where Kajkavian dialects are spoken nowadays.<sup>2</sup>

Since 1937 various dialectologists have investigated the dialect and expressed their opinion on it, in particular on its origin and on the question whether or not it can be considered Kajkavian. Several of them have argued that it lacks certain characteristics that all Kajkavian dialects are supposed to have in common, especially with regard to its accentuation and vowel system, and have tried to explain this in terms of marginality and/or dialect mixture. With regard to the vowel system, the main problems have been the establishment and the interpretation of the reflexes of Common Slavic long and short  $*_{b}/_{\mathcal{L}}$ ,  $*_{\mathcal{C}}$ ,  $*_{\mathcal{C}}$  and  $*_{\mathcal{L}}$ .

In my opinion, the discussion was for a long period handicapped by a lack of material that was extensive and consistent enough to yield a clear picture of the correspondences between the vowels in the present-day dialect and those in remote stages of the language, such as Common Slavic.

From 1985 onward, I have tried to do something about the lack of material by collecting and publishing my own data (see Houtzagers 1987, 1988 and 1991). As to the correspondences, part of this article will be devoted to presenting a picture of the vowel reflexes.

For the discussion about the origin of the Hi and Fe dialect and its place within or without Kajkavian this is, of course, not enough. The question will also have to be faced how the present-day system came about. It will be seen that this presents certain problems: the Hi and Fe dialect possesses, among other peculiarities, two asymmetries in the sense that vowels which originally merely showed a length opposition now have, in addition, different degrees of openness. In this article I shall propose a reconstruction of the vowel system from what is tentatively assumed to be Proto-Kajkavian until the present day.

#### 2. Present-day situation

2.1 In stressed syllables the vowel inventories of the dialects of Hi and Fe are identical:

Diagram 1: stressed vowels

In unstressed syllables the vowel inventories are different:

Diagram 2: unstressed vowels

Hide	gség	Fertőhomok			
i	и	i	u		
ç	a	į ž	a		

For a proper understanding of the examples given in this article it is necessary to give one piece of diachronic information beforehand. At some stage in the development of the dialect of Hi and Fe there has been a stress retraction from short final syllables. An originally long pretonic vowel is represented by a short vowel in the present-day dialect if it was high or low (i, ii, a) and by a long vowel if it had a mid degree of openness (ê, ô). Examples: žīvil 'live' LPm, pühat 'blow', jä rem 'yoke', but bêlit 'whitewash', sôsed 'neighbour'4. It has to be assumed that before the stress retraction pretonic long vowels had been shortened. This shortening caused the long pretonic high and low vowels to merge with their short counterparts. As we shall see below, the long mid vowels were at that stage realized as closing diphthongs ([ou], [ei]). Therefore they remained distinct from their formerly short counterparts, which were realized as opening diphthongs ([uo], [ie]). After the stress retraction, the difference in diphthongization was reinterpreted as a difference in length.5

More examples will be given in §§ 4.2 and 4.5. The shortening and the stress retraction will be discussed in their chronological context in § 8.

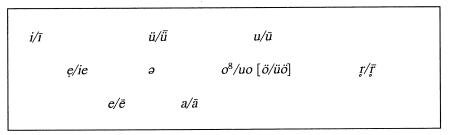
- 2.3 In this subsection remarks will be made on the distribution and phonetic realization of the vowels.
- (1) As a result of the stress retraction discussed in § 2.2 short vowels are rare in stressed final syllables of polysyllabic words. Some examples:  $kad\ddot{e}(n)$  'where' (also with deviant reflex of  $\mathfrak{b}$ ),  $ond\ddot{e}$  'here',  $ovd\ddot{e}$  'here', along with more frequent  $d\ddot{e}(n)$ ,  $\ddot{o}nde(n)$ ,  $\ddot{o}vde(n)$ , the toponym  $He\ddot{e}k\ddot{u}r$  'Hegykő', such imperatives as  $don\ddot{e}s$  'bring', cf. the infinitive  $d\ddot{o}nes.^6$

- (2) The degree of openness of both stressed and unstressed e shows a great deal of positional variation: it is more open in stressed than in unstressed syllables, in unstressed syllables it is more open in final than in medial position and in stressed syllables it is more open when it is long than when it is short. In addition to all this, there is a difference in the degree of openness of e between Hi and Fe: in each of the positions mentioned, the Hi allophone is more open than the Fe one. The maximal degree of openness of e is reached in the dialect of Hi in long stressed syllables, where it is not only very open but also retracted to a central low position: Hi e is phonetically indistinguishable from Hungarian e. The minimal degree of openness of e is reached in Fe in unstressed non-final position, where the timbre varies between e and e. I have chosen the symbol e to represent this vowel in both dialects and in all positions. This choice is necessarily arbitrary.
- (3) Hi  $\ddot{a}$ ,  $\hat{a}$  and a are retracted and strongly rounded. The traditional notation in Serbo-Croatian dialectology for a vowel with this timbre is  $\ddot{a}$ . In Fe these vowels are also retracted but much less rounded than in Hi. For reasons of typographical simplicity and in order to be able to treat Hi and Fe together as much as possible, I shall use the symbol a.
- (4) The stressed long mid vowels,  $\hat{e}$  and  $\hat{o}$ , are almost always realized as closing diphthongs ([ei], [ou]).<sup>7</sup> Their short counterparts are in general realized as opening diphthongs ([ie], [uo]).
- (5) The distribution of  $\hat{e}$  is restricted. In Fe  $\hat{e}$  occurs only in the word  $v\hat{e}k$  'immediately' and in stressed endings of the third person plural of i-presents, e.g.  $vej\hat{e}$  'they say',  $let\hat{e}$  'they fly'. The functional load of the opposition  $\ddot{e} \neq \hat{e}$  is small: as a consequence of the stress retraction from short final syllables (see § 2.2),  $\ddot{e}$  and  $\hat{e}$  are almost in complementary distribution. In Hi,  $\hat{e}$  is less rare because  $*\bar{a}$  and \*a were fronted before tautosyllabic j, e.g.  $z\hat{e}jt$  'go behind' vs.  $z\hat{e}jc$  'hare',  $n\hat{e}j$  'don't' (negative imperative particle) vs.  $n\hat{e}jzadj$  'back'. In this position  $\hat{e}$  is not phonemically opposed to  $\hat{a}$ . The Fe forms with  $\hat{e}$  ( $v\hat{e}k$ ,  $let\hat{e}$ , etc.) also occur in Hi.

## 3. The Proto-Kajkavian vowel system

3.1 The Proto-Kajkavian starting-point adopted here is the system proposed by Vermeer (1983: 456). It is based on Ivić's reconstruction (1968: 57-61), but contains a number of relevant modifications.

Diagram 3: Proto-Kajkavian starting point



3.2 The diagram below shows how diagram 3 must be interpreted in terms of reflexes of late Common Slavic vowels.

Diagram 4: Proto-Kajkavian system in terms of reflexes of CSl. vowels

\*
$$i/*\bar{i}$$
 \* $u/*\bar{u}$  \* $j=*Q/*\bar{j}=*\bar{Q}$ 

\* $\check{e}=*\partial/*\check{e}=*\bar{\partial}$  posttonic  $\partial$  \* $o/*\bar{o}$  \* $i/*\bar{i}$ 

\* $e=*e/*\bar{e}=*\bar{e}$  \* $a/*\bar{a}$ 

From diagram 3 and 4 it is clear that two developments which are generally considered characteristic for Kajkavian (see Ivić (1957: 403; 1968: 57), Lončarić (1982: 27), Vermeer (1983: 440)) had already taken place:

- (1) Merger of \*a and \*ě.
- (2) Merger of (long and short) \*! and \*o. In the literature on Kajkavian, the traditional symbol for the outcome of this merger is o. In Vermeer's reconstruction, the result of the merger is  $u/\bar{u}$ .
- 3.3 The grounds for regarding the system presented in diagram 3 as Proto-Kajkavian are discussed in detail by Vermeer (1979a, 1987 and especially 1983). In this section I shall highlight a number of its characteristics that are relevant for the present discussion.

- (1) One of the changes that brought about the system shown in diagram 3 is the fronting of  $*u/*\bar{u} > \bar{u}/\bar{u}$ , which by other authors, is treated as a local development (e.g. Ivić 1968: 60 ff.). By regarding the fronting of  $*u/*\bar{u}$  as an innovation which is not only common Kajkavian but shared with north Istrian Čakavian, parts of Slovene and Posavian Štokavian, Vermeer explains, among other things, the archaic distribution of traces of fronted  $*u/*\bar{u}$  over a large area (1979b; 1983: 453, 470, fn. 17). Much the same can be said about the fronting of  $*o/*\bar{o}$  (after diphthongization of  $*\bar{o}$ ), with two important differences: fronting of  $*o/*\bar{o}$  was (a) nondistinctive and (b) restricted to Kajkavian (Vermeer 1983: 453).
- (2) The main factor in the development of most Kajkavian dialects from the Proto-Kajkavian system is the pressure  $\ddot{u}/\ddot{u}$  was under to return to its former place (>  $u/\ddot{u}$ ). This pressure was due to the influence of neighbouring Čakavian and Neo-Štokavian dialects. The defronting of  $\ddot{u}/\ddot{u}$  resulted either in merger of  $\ddot{u}/\ddot{u}$  with  $u/\ddot{u}$  (in terms of diagram 3) or in lowering of  $u/\ddot{u}$ . If  $u/\ddot{u}$  was lowered, its reflex either stayed distinct from the other members of the system (sometimes optionally) or merged with the reflex of o/uo.
- (3) An equally important feature of the Proto-Kajkavian system presented above is the diphthongal phonetic realization of ie and uo. When in Serbo-Croatian and Slovene the originally low front vowel  $*\check{e}/*\check{e}$  was moving towards a position between  $*e/*\bar{e}$  and  $*i/*\bar{i}$ , it must have been a diphthong, otherwise it would have merged with  $*e/*\bar{e}$ . It is reasonable to suppose that after the raising short  $*\check{e}$  monophthongized (in ijekavian it turned into j+e) and  $*\check{e}$  stayed phonetically diphthongal. This corresponds to the present-day situation in a number of geographically noncontiguous areas (cf. Vermeer 1982: 101-102).
- (4) Shortening of posttonic lengths is generally regarded as common Kajkavian (cf. Ivšić 1937: 184, Ivić 1966: 376, 1982: 181). Therefore I shall assume that it took place before all post-Proto-Kajkavian developments discussed from § 5 onward.
- 3.4 According to diagram 4, the Proto-Kajkavian reflexes of CSl. \* $\hat{\sigma}$  and \* $\hat{\sigma}$  ( $\langle *\bar{\tau}/*\bar{\iota} \rangle$  are identical to those of CSl. \* $\check{e}$  and \* $\check{\bar{e}}$ , respectively. In the attested instances from Hi and Fe, however, the reflex of \* $\hat{\sigma}$  is not identical with the reflex of \* $\check{e}$  but with that of \* $\bar{a}$ . Examples:  $t\hat{a}st$  'father-in-law',  $t\hat{a}s$  'lie',  $t\hat{a}s$  (with  $t\hat{o}s$ )  $t\hat{a}s$  before a nasal).

Hi and Fe are by no means exceptional in this respect: forms with  $*\bar{\vartheta} = *\bar{a}$  are very frequent in Kajkavian. As a matter of fact, all the Kajkavian dialects described for the OLA in Ivić et al. (1981: 297-358) show examples of  $*\bar{\vartheta} = *\bar{a}$ . The development of  $*\bar{\vartheta}$  in Kajkavian is not yet altogether clear and it is by no means excluded that there are positions in which  $\bar{a}$  is the regular Proto-Kajkavian reflex of  $*\bar{b}/*\bar{b}$  (cf. Ivić 1966: 379, fn. 12, Vermeer 1983: 470, fn. 13). For the present I shall assume that in diagram  $3*\bar{\vartheta}$  is represented by  $\bar{a}$ , whereas  $*\vartheta$  is represented by  $\bar{e}$ .

Like almost everywhere in Kajkavian, short strong \* $\tau$  preceded by v has become u, e.g.  $v\ddot{u}z\phi m$  'Easter',  $v\ddot{u}z\phi at$  'light' (cf. Vermeer 1979a: 363-365, Ivić et al. 1981: 299, 304, 311, 321, 327, 335, 341, 346).

- 3.5 Two elements of the Proto-Kajkavian system presented in diagram 3 are of lesser importance for the development of the Hi and Fe vowel system and will be disregarded in the remainder of the present article:
- (1) The central mid vowel  $\vartheta$  in diagram 3, which reflects PSI. posttonic \* $\vartheta$ , must be assumed to have existed in Proto-Kajkavian, since it has a separate reflex in a number of dialects scattered all over the Kajkavian area (see Vermeer 1983: 444-448). The Hi and Fe system shows no trace whatsoever of a separate development of posttonic \* $\vartheta$ . I shall arbitrarily assume that  $\vartheta$  merged with e immediately after the Proto-Kajkavian system and  $\vartheta$  as a separate vowel will be left out in all succeeding diagrams.
- (2) Another change that can have taken place at any time between the system displayed in diagram 3 and the present-day vowel system and has had no consequences for the development of the other vowels is the loss of the length opposition between  $\underline{r}$  and  $\underline{\bar{r}}$  and the development of the result of the merger into the sequence er. Therefore in the following no attention will be paid to the treatment of  $\underline{r}$  and  $\underline{\bar{r}}$ .

## 4. Present-day situation in terms of reflexes of Proto-Kajkavian

4.1 In stressed syllables the Proto-Kajkavian vowels shown in diagram 3 are reflected as follows:

#### Short stressed

 $i > \tilde{i}$ , e.g.  $k\tilde{i}ta$  'branch';  $\bar{i} > \hat{i}$ , e.g.  $k\tilde{u}p$  'heap',  $\bar{u} > \bar{u}$ , e.g.  $k\tilde{u}p$  'heap',  $\bar{u} > \bar{u}$ , e.g.  $m\tilde{u}ka$  'trouble';  $\bar{u} = uo$   $o > \tilde{o}$ , e.g.  $m\tilde{o}gel$  'could';  $k\hat{o}s$  ' $e > \tilde{e}$ , e.g.  $br\tilde{e}skva$  'peach';  $\bar{e} = ie > \tilde{e}$ , e.g.  $t\tilde{e}skva$  'heavy';  $b\hat{e}l = ie > \tilde{e}$ , e.g.  $kr\tilde{u}va$  'cow'.  $\bar{u} > \tilde{u} > \tilde{u}$ , e.g.  $kr\tilde{u}va$  'cow'.

#### Long stressed

 ī > î, e.g. sîn 'son';
 ū > ū, e.g. kûč 'house' Gpl;
 ū = uo > ô, e.g. čôn 'boat', kôs 'bone';
 ē = ie > ê, e.g. žên 'woman' Gpl, bêl 'white';
 ā > â, e.g. plâčadu 'they pay'.

The diagram below illustrates the correspondences between Proto-Kajkavian (diagram 3) and the present-day system of stressed vowels (diagram 1).

Diagram 5: present-day stressed vowels in terms of reflexes of Proto-Kajkavian

	short		long
i e e	$     \ddot{u} = u \\     o \\     a $	$\bar{e} = ie$ $(\bar{e})$	$ \bar{u} $ $ uo = \bar{u} $ $ \bar{a} $

The symbol ' $(\bar{e})$ ' refers to the restricted correspondence of Proto-Kajkavian  $\bar{e}$  with present-day  $\hat{e}$  mentioned in § 2.3, remark (5). The rise of this  $\bar{e}$  will be discussed in § 11.

4.2 The examples given in § 4.1 all contain stressed vowels that were already stressed before the retraction from short final syllables (see § 2.2). In syllables that received the stress as a result of the retraction, the correspondences between Proto-Kajkavian and the present-day dialect are as shown in diagram 5, with one exception: originally long pretonic vowels with a high or low degree of openness at the moment of the retraction are now represented by a short vowel. Examples:

# Originally short pretonic, now stressed

i > ï, e.g. ïgrat 'play';
 ü = u > ü, e.g. gübit 'lose',
 büha 'flea';
 e > ë, e.g. dënes 'today';
 o > ö, e.g. nösit 'carry';
 e > ë, e.g. sëlo 'village';
 (no examples with a).

# Originally long pretonic, now stressed

 $\underline{i} > \underline{i}$ , e.g.  $\underline{pitat 'ask'}$ ;  $\underline{\overline{u}} > \underline{u}$ , e.g.  $\underline{ljubit 'love'}$ ;  $\underline{u} > \hat{o}$ , e.g.  $\underline{moka 'flour'}$ ; (no examples with  $\underline{uo}$ );  $\underline{e} = \underline{ie} > \hat{e}$ , e.g.  $\underline{svezat 'tie'}$ ,  $\underline{dete}$  'child';  $\underline{a} > \underline{a}$ , e.g.  $\underline{platit 'pay'}$ .

- 4.3 For a picture of the reflexes of Proto-Kajkavian vowels in unstressed syllables a distinction must be made
- (a) between Fe and Hi;
- (b) between posttonic and pretonic syllables.
- 4.4 Since posttonic lengths were shortened in Proto-Kajkavian (see § 3.3, remark (4)), for the correspondences in posttonic syllables only the short vowels of diagram 3 have to be taken into account.

The correspondences in posttonic syllables are as follows:

## Fe posttonic

i > i, e.g. vrâzi 'devils'
u = ü > u, e.g. jãbuka 'apple',
môžu 'husband' Dsg;
e = e > e, e.g. gôven 'turd' Gpl,
vrême 'time';
o > o, e.g. mêso 'meat';
a > a, e.g. kŭšat 'taste'.

## Hi posttonic

i > i, e.g. hitit 'throw';
u = ü = o > u, e.g., jäbuka
'apple', brätu Dsg, mêsu 'meat';
e = e > e, e.g. Hedesinec 'inhabitant of Hi', mësec 'month';

a > a, e.g. bäba 'grandmother'.

4.5 The examples given in § 4.4 all contain posttonic vowels that already were posttonic before the stress retraction. Syllables that became posttonic as a result of the stress retraction show exactly the same correspondences. Examples:

# Fe: originally stressed, now posttonic

i > i, e.g. kürit 'smoke';
u = ü > u, e.g. öbrus
 'table-cloth', žệnu 'wife' Asg;
o > o, e.g. gnjêzdo 'nest';
e = e > e, e.g. öbed 'mid-day
 meal', möje 'my' Nsg n;
a > a, e.g. pïtat 'ask'.

# Hi: originally stressed, now posttonic

i > i, e.g. kösit 'mow';
ü = u = o > u, e.g. könju 'horse'
Dsg, žệnu 'wife' Asg, sệlu 'village';
e = e > e, e.g. člövek 'human being', dönes 'bring';
a > a, e.g. nöga 'foot'.

4.6 The following diagram illustrates the correspondences between Proto-Kajkavian (diagram 3, left part) and the present-day posttonic vowels.

Diagram 6: present-day posttonic vowels in terms of reflexes of Proto-Kajkavian

Fe pos	Fe posttonic vowels		sttonic vowels
<i>i e</i> = <i>e</i>	$\ddot{u} = u$ $o$	i	$\ddot{u} = u = o$
a		e = e	a

4.7 The correspondences in pretonic syllables are as follows:

## Fe pretonic

 $i = \overline{\imath} > i$ , e.g.  $im\widehat{o}mo$  'we have',  $zapis\widehat{o}no$  'written down' n;  $\ddot{u} = u = \ddot{u} > u$ , e.g.  $du\widetilde{s}i$  'it smells',  $suz\widehat{\imath}$  se 'sheds tears',  $pust\widetilde{\imath}li$  'let' LPpl m;  $e = e = (\overline{e}) = (ie) > e$ , e.g.  $dev\widetilde{\imath}ica$  'little girl',  $devetn\widehat{a}js$  'nineteen';

## Hi pretonic

 $i = e = \bar{\imath} = (\bar{e}) = (ie) > i$ , e.g.  $im \hat{o} mu$  'we have',  $div \tilde{\imath} \dot{e} ica$  'little girl',  $zisk \tilde{a} lu$  'earn' LPn;  $\ddot{u} = u = o = \bar{u} = \bar{u} > u$ , e.g.  $u\check{e} \hat{\imath}$  'he learns',  $du\check{z} \hat{\imath} \dot{e} ki$  'long',  $mu\check{c} \hat{u} m$  'power' Isg,  $ljub \tilde{\imath} la$  'love' LPf,  $sus\check{e} da$  'neighbour' Gsg;

$$o = \bar{u} = (uo) > o$$
, e.g.  $sojili$  'salt'  $e > g$ , e.g.  $peti$  'five' G;  
LPpl m,  $soseda$ , 'neighbour'  $a = \bar{a} > a$ , e.g.  $zapiknut$  'prick',  $a = \bar{a} > a$ , e.g.  $slanina$  'lard',  $placali$  'pay' LPpl m.

The brackets indicate that I have no reliable examples where the vowel in question was originally pretonic and still is.

In § 4.2 one can find examples of originally pretonic vowels which became stressed by the retraction from short final syllables.

The correspondences between Proto-Kajkavian (diagram 3) and the present-day pretonic vowels are illustrated in the diagram below.

Diagram 7: present-day pretonic vowels in terms of reflexes of Proto-Kajkavian

Fe pre	Fe pretonic vowels		Hi pretonic vowels		
i=ī e=e=ie=ē a=	ü=u=ū o=uo=ū =ā	<i>i=ę=ī=ie</i> <i>e</i>	ü=u=o=ü=ū=uo a=ā		

- 4.8 Certain reflexes of a and  $\bar{a}$  are positionally conditioned:
- (1) Stressed original a and  $\bar{a}$  are reflected as  $\hat{o}$  before a nasal, e.g  $sl\hat{o}ma$  'straw',  $t\hat{o}ncat$  'dance'.
- (2) In Hi, a and  $\bar{a}$  (whether or not stressed) are reflected as e,  $\bar{e}$  and  $\bar{e}$  before tautosyllabic j, e.g.  $k\bar{e}j$  'what',  $z\bar{e}jc$  'hare',  $m\bar{e}rkej$  'listen', cf. Fe  $k\bar{a}j$ ,  $z\bar{a}jc$ ,  $m\bar{e}rkaj$ . This phenomenon is frequently found in Kajkavian (e.g. Ivić et al. 1981: 311, 321, 335, 340, 346).
- 4.9 The present subsection will deal with the exceptions to the general picture of the correspondences given above. It contains no information that is indispensable for the understanding of the account of the development of the Hi and Fe vowel system, which will be continued in § 5.

Below those attested instances will be presented that do not agree with what one would expect on the basis of §§ 4.1-4.8. The vowels found in these forms are not regular reflexes but can in most cases be explained by analogical replacement, e.g. (1) and (4) below, or by borrowing from other varieties of Croatian, e.g. (3a)-(3d) below.

## Proto-Kajkavian $u/\bar{u}$ ( $\langle *\varrho/*\bar{\varrho}, *!/*\bar{l} \rangle$ )

(1) In Fe sometimes o ( $\langle$  Proto-Kajkavian u) is found in unstressed syllables instead of expected u. The instances found in the material are:  $mosk\hat{i}$  'male' (along with  $\underline{u}$ ), dosovonje 'wares',  $rock\hat{u}m$  'hand' Isg (along with  $\underline{u}$ ), vrocsini 'heat' Lsg, Locsini TOP, the PR3pl ending, e.g. pocepajo 'hack' and the verbal suffix -no-, e.g. cerknolo 'die'. In all these forms the o can be explained by analogy to stressed  $\hat{o}$  in forms of the same paradigm or in related words: mossini 'man', dossini 'debt', rocsini 'hand', vrocsini 'hot' Nsg n, lossini 'forest', stressed PR3pl endings like budocsini, forms where -no- is stressed, e.g. cerknoto 'dead' Nsg n.

For  $g\ddot{o}lob$  'pigeon' I have no attestations of forms where the vowel in question is stressed. It is reasonable to assume however, that the word either has or had such forms e.g. Gpl \* $gol\dot{u}b$  (with  $\bar{u}$  in terms of diagram 3; the Gpl has not been attested).

Unexpected reflexes like the ones presented above do not occur in Hi, since the Hi dialect has no unstressed o. However, the material from Hi does contain a few similar instances in stressed syllables:  $t \c{o} \c{c} \c{e} \c{n} \c{j} \c{i}$  'hitting' (verbal noun) Lsg,  $m \c{o} \c{c} \c/m \c{u} \c{c}$  'be silent' IMP,  $g \c{o} \c{s} \c{c} \c{c}$  'more often', cf.  $t \c{o} \c{c}$  'hit' INF,  $m \c{o} \c{c} \c{e}$  'silently, in secret',  $g \c{o} \c{s} \c{t} \c{u}$  'often' ADV. I regard the nonattestation of these forms in Fe as accidental.

(2) Two words have stressed  $\hat{u}$  (< Proto-Kajkavian  $\bar{u}$ ) instead of expected  $\hat{o}$ , in Fe as well as in Hi:  $n\underline{\hat{u}}gel$  'corner',  $gr\underline{\hat{u}}ben$  'rude'. The same phenomenon is found in the stressed Hi PR3pl ending  $-\hat{u}$ , e.g.  $s\underline{\hat{u}}$  'they are',  $id\underline{\hat{u}}$  'they go' (cf. Fe  $s\hat{o}$ ,  $id\hat{o}$ ). In  $tr\underline{\hat{u}}ba$  (\* $tr\bar{u}ba$ ) 'mouth, face' (pejorative) Hi Fe we should expect  $\hat{o}$ . The root-vowel  $\hat{u}$  points to shortness at the moment of the stress retraction.\(^{11}

# Proto-Kajkavian ie/e <\*ě/\*ě

(3) In a number of words i, i or  $\hat{i}$  occurs instead of expected e,  $\tilde{e}$  or  $\hat{e}$  ( $\langle$  Proto-Kajkavian ie/e). This happens more often in Hi than in Fe. The following instances were found in the material:<sup>12</sup>

- (3a) Both Hi and Fe: the word crîkfa 'church'; the DLsg ending -i/-î, e.g. ženi 'wife' Dsg, sëli 'village' Lsg; the endings of the negated PR nësmim, nësmiš, etc. 'I, you, etc. dare not'; the suffix of such verbs as živit 'live', živila/živila LP f Fe, živil LP m Hi, razumimo 'we understand', lëtit 'fly', tërpit 'suffer' (relevant forms of last two verbs not attested in Fe).
- (3b) **Only Hi:** the words <u>lîp</u> 'beautiful' (Fe <u>lêp</u>), <u>b</u><u>r</u><u>r</u><u>i</u>zat 'run' (Fe <u>b</u><u>e</u>zat) <u>pr<u>r</u><u>i</u>k 'through, across' (also <u>pr</u><u>e</u>k, <u>pr</u><u>e</u>k, Fe <u>pr</u><u>e</u>k, <u>pr</u><u>e</u>k, <u>pr</u><u>e</u>k, <u>ub</u><u>r</u><u>i</u>sit 'hang up' (Fe <u>o</u><u>b</u><u>e</u>sit).</u>
- (3c) **Predominantly Hi:** the suffix of the verb *vidit* 'see', LP *vidil* Hi Fe (Fe also *videt*, *videl*), *gôri* 'up', *dôli* 'down' Hi Fe (Fe also *gôre*, *dôle*).
- (3d) Only Fe: sin"okoša 'hay-field',  $bi\check{z}\hat{\imath}$  'he runs' (also  $be\check{z}\hat{\imath}$ ),  $sik\~ira$  'axe' (also  $sek\~ira$ ). Same forms in Hi but there i is the regular reflex of pretonic e.
- (3e) **Predominantly Fe:** before -j-, e.g. Fe smijat 'laugh' (less frequently: smëjat; the reverse in Hi), zrijali 'ripen' LP pl Fe (cf. zrëjadu PR3pl Hi), vijat Fe 'winnow', (cf. vëjat/vijat Hi), sijali 'sow' LP pl Fe (cf. Hi sëjali/sijali). With original e followed bij j, but alternating with other consonants: Fe örij, öri, öri, örij, örij 'walnut', Npl orih, Gpl oris (Hi örej, öre, urëlinu 'walnut tree' Asg). In Hi čirî 'daughter' Dsg (cf. Fe čerî) and Hi očinäši 'the Lord's Prayer' Npl (cf. Fe očenäši) original pretonic e is reflected as if it were a e.
- (4) In four words we find pretonic e in Hi instead of expected i (e) Proto-Kajkavian e): sredina 'middle', sredinski 'middle' Nsg m, dreveni 'wooden' Nsg m, mehor 'bladder'. Probably the same explanation holds that was given under (1): analogy to related words with stressed e: sredinal 'Wednesday', dreveni 'wood', meh 'sack, wineskin' (not attested). (Same forms in Fe, but there e is the regular reflex of unstressed e).

## Proto-Kajkavian e < \*2

(5) In four words a is found instead of expected e/e (< Proto-Kajkavian e < \*a):  $l\ddot{a}gat$  'lie',  $m\ddot{a}sa$  'mass',  $kad\ddot{e}$  'where' (along with more frequent  $d\ddot{e}$ ),  $l\ddot{a}zno$  (e.g.  $m\ddot{e}ni$  je - 'I have time'). The last word also has unexpected length. Instead of va 'in' one would expect vu (see § 3.4, last paragraph). Fe has vu along with va. When the preposition is stressed, both Hi and Fe have  $v\ddot{u}$ .

#### Miscellaneous

- (6) The prefix ręz- instead of expected raz-, more often in Hi than in Fe: ręsiknę/rasiknę 'lighten' Hi, ręśirit 'spread' Hi, raśirit/ręśirit Fe, Hi ręzdējit 'divide', Fe razdējit. Also Hi dęr 'approximately' (cf. Fe dar), rękâš Hi 'corn-stack' (cf. rakâš Fe). Fe has päjat 'lead' along with more frequent pējat (Hi pējat).
- (7) naděja 'Sunday', nasêča 'pregnant' Nsg f.
- (8) sestra 'sister' instead of expected \*sestra, 14 Hi čekat 'wait', instead of expected čekat (latter form attested in Fe).
- (9) Hi tręsljê (if the vowel reflects e < \*a one expects i).
- (10) Fe tuvaruš 'friend', along with tovaruš (Hi only tu-).

## 5. The rise of the Hi and Fe asymmetries

- 5.1 As was said in § 1, the most striking characteristic of the Hi and Fe stressed vowel system is the presence of two asymmetries in the sense that Proto-Kajkavian vowels which were merely opposed in length now have, in addition, different degrees of openness (see diagram 5):
- (1) Proto-Kajkavian e stayed distinct from e and is reflected by a low vowel, whereas  $\bar{e}$  merged with ie (the long partner of e) and is now represented by a mid vowel;
- (2) Proto-Kajkavian  $\ddot{u}$  merged with u and is reflected by a high vowel, whereas  $\ddot{u}$  stayed distinct from u, merged with uo and is represented by a mid vowel.

To my knowledge, asymmetries like the ones mentioned are not found in any other described Kajkavian dialect. However, from § 5.3 onward I shall try to show that the Hi and Fe system, including its asymmetries, can be derived in a plausible way from Proto-Kajkavian (diagram 3).

5.2 In posttonic syllables the asymmetries under discussion never arose since Proto-Kajkavian had no posttonic lengths. In pretonic syllables, vowel mergers have caused the asymmetries to disappear, with one exception: Proto-Kajkavian  $u \neq \bar{u}$  in the dialect of Fe (see diagram 7).

The changes discussed in §§ 5.3-5.6 apply to both stressed and unstressed vowels, on the understanding that the posttonic vowels were

all short. In §§ 6-10 an account will be given of those developments that were specific for unstressed vowels.

5.3 I think that the asymmetries mentioned in § 5.1 are historically closely interrelated and developed immediately from the Proto-Kajkavian system. The reconstruction proposed here is based on the assumption that when  $\ddot{u}/\ddot{u}$  were defronted,  $\ddot{u}$  was lowered to  $\ddot{o}$  under the pressure of  $\ddot{u}$ , whereas u was not lowered and merged with  $\ddot{u}$ . The reason for this was that  $\ddot{u}$  had sufficient room to be lowered and at the same time stay distinct from its lower neighbour, which was phonetically a diphthong (uo), while u had no place to go. Lowering of u would have resulted in merger with o. It stayed where it was and merged with defronted  $\ddot{u}$ . As in all Kajkavian dialects where  $\ddot{u}/\ddot{u}$  merged with  $u/\bar{u}$ , the tendency of  $\ddot{u}$  towards defronting must have been stronger than the tendency of u to stay distinct from  $\ddot{u}$ .

If the development described above is really what happened, it must be assumed that o/uo [ $\ddot{o}/u\ddot{o}$ ] had already been defronted by the time  $\ddot{u}$  started to exert pressure on u, or at least enough to exert counterpressure.

- 5.4 When  $\bar{u}$  reached a mid degree of openness, a number of phonemic reinterpretations took place:
- (1) The diphthongal character of uo became distinctive, since it was the only feature which distinguished it from former  $\bar{u}$ .
- (2) Former  $\bar{u}$  replaced uo as the long partner of o.
- (3) The front mid long vowel ie which, being phonetically a diphthong, was the natural front partner of uo and not of former  $\bar{u}$ , became distinctively diphthongal. It was no longer phonologically the long counterpart of e, just as uo was no longer phonologically the long counterpart of o.

The following diagram is given for the sake of clarity only, since the development described next probably took place simultaneously with changes 1-3 above.

#### Diagram 8

Ever since the diphthongization of  $*\bar{e}$  (before diagram 3),  $\bar{e}$  had had considerably more freedom with regard to its degree of openness than its short counterpart. As long as it stayed monophthongal and sufficiently remote from  $\bar{i}$ , it would stay distinct from the other long front vowels. It is improbable that  $\bar{e}$  had remained at the same level of openness as e without there being a reason for it and in all likelihood  $\bar{e}$  had become phonetically considerably more closed than e at least from the rise of the Proto-Kajkavian vowel system onward. When in the course of the phonemic reanalyses described above  $\bar{e}$  was left without a long counterpart, the gap was filled by  $\bar{e}$ .

## Diagram 9

5.5 Now the mid diphthongs *ie* and *uo* were monophthongized. This development was shared with (a) the dialects that now have monophthongal mid vowels (many places all over the Kajkavian area, e.g. Ivić et al. 1981: 297, 325, 331, 343); (b) the dialects that now have closing diphthongs as central vowels (predominantly the east and northeast, e.g. Fancev 1907 passim, Ivić et al. 1981: 337, Lončarić 1982: 238, Lončarić 1990: 170-177): *ie*, *uo* cannot have developed into *ei*, *ou* without an intermediate stage during which they were monophthongs.

In the dialect under discussion the monophthongization resulted in merger with the long monophthongal central vowels  $\bar{e}$  and  $\bar{o}$ . This led to the following system:

### Diagram 10

5.6 The next changes were subphonemic: in those positions where there was a distinction between long and short vowels (stressed and pretonic syllables),  $\bar{e}$ ,  $\bar{o}$ , e and o were diphthongized into [ei], [ou], [ie] and [uo], respectively.

The monophthongization of  $\bar{e}$  and  $\bar{o}$  and their development into closing diphthongs probably took place (or had at least started) before the migration. Both in the neighbouring Čakavian dialects and in the variety of Hungarian spoken in the area around Hi and Fe long mid monophthongs are realized as opening diphthongs (see Neweklowsky 1978: 62, Imre 1971: 273). It is not likely that a development in exactly the opposite direction would have started in a speech community of only a few villages.<sup>17</sup>

## 6. Unstressed vowels: general remarks

§§ 6-10 will concentrate chiefly on the changes that took place in unstressed syllables. Some of the innovations discussed also affected the stressed vowels, but caused no vowel mergers or splits.

There is no reason to suppose that up to the situation presented in diagram 10 the development of the unstressed vowels was different from that of their stressed counterparts. It is not excluded, however, that in posttonic syllables the first innovation described below (the raising of short e,  $\S$  7) took place well before that stage. For the subsequent changes this is highly unlikely.

Since diagram 10 provides a good starting-point for the account of the specific changes in unstressed syllables, I shall use it as such. The two following diagrams show which phenomena will have to be accounted for.

Diagram 11: present-day posttonic vowels in terms of reflexes of the short vowels in diagram 10

Fe postto	Fe posttonic vowels		Hi posttonic vowels		
$i \\ e = e$ $a$	u ọ	<i>i e</i> = <i>e</i>	u = o $a$		

Diagram 12: present-day pretonic vowels in terms of reflexes of the vowels in diagram 10

Fe pretonic vowels	Hi pretonic	Hi pretonic vowels		
$i = \bar{i} \qquad u = \bar{u}$ $e = e = \bar{e} \qquad \phi = \bar{\phi}$ $a = \bar{a}$	$i = e = \bar{i} = \bar{e}$ $e$	$u = \phi = \bar{u} = \bar{\phi}$ $a = \bar{a}$		

Summarizing:

- (1) The length opposition on pretonic vowels was lost;
- (2) In Fe the low front vowels merged with the mid front ones and a triangular unstressed vowel system was obtained;
- (3) In Hi posttonic syllables the same merger took place and in addition the mid back vowel merged with the high back one;
- (4) In Hi pretonic syllables the mid vowels merged with the high vowels;
- (5) In Hi, as a result of (3) and (4), e in terms of diagram 10 is reflected differently in posttonic than in pretonic syllables.

One other innovation is not visible from diagrams 11 and 12 but will also have to be discussed: the stress retraction from short final syllables.

In the remainder of this article I shall propose the following chronology:

- (§ 7) a development towards triangularity which, in posttonic syllables, has immediate consequences for the number of vowels (from 6 to 5);
- (§ 8) shortening of pretonic lengths, rise of distinctive diphthongization (results: 4 monophthongs + 4 diphthongs in pretonic syllables); stress retraction;
- (§ 9) disappearance of diphthongization in pretonic syllables in Fe; results: 5 vowels in pretonic syllables;
- (§ 10) separate developments in Hi: restoration of the rectangular system and elimination of the mid level; results: 4 vowels in unstressed syllables.

### 7. Raising of short e

In diagram 10, the long vowels formed a triangle, whereas the short ones formed a rectangle:

Diagram 13 (= diagram 10 with long and short viewed separately)

long		sł	nort
ī ē ā	ū Ģ	i e e	u ọ a

The low front vowel *e* occupied a relatively vulnerable position: it had no long counterpart and formed one of the corners of the rectangle. I assume that since this situation had arisen (diagram 9), *e* and *a* had had the tendency respectively to be raised to a mid opening level and to be fronted towards a low central position.

After the diphthongizations described in § 5.6 it had become possible for e in stressed and pretonic syllables to be raised without merging with e. It was raised and e,  $\bar{e}$ , o and  $\bar{o}$  now were distinctively diphthongal.

In posttonic syllables no diphthongizations had taken place and e merged with e. The functional load of the opposition  $e \neq e$  in posttonic position was small:  $e \ (\langle *\check{e}, *e \rangle)$  was relatively rare in posttonic syllables before the stress retraction. The merger was in accordance with the overall tendency of Kajkavian dialects to reduce the number of oppositions in unstressed syllables (cf. Zečević 1993).

The results of these changes are shown in the following diagram.

### Diagram 14

	stressed and pretonic			pos	ttonic	
ī ā	ū	i e a	и	ie, ei, uo, ou	i e	u o a

## 8. Shortening of pretonic lengths, stress retraction

Now the changes already discussed in § 2.2 took place:

(a) The pretonic lengths were shortened, which caused  $\bar{i}$ ,  $\bar{u}$  and  $\bar{a}$  to merge with i, u and a. The four diphthongs remained distinct, both from each other and from e. As a result, the vowel system in pretonic syllables consisted of four short vowels and four diphthongs (see diagram 15).

Diagram 15

stressed	stressed and pretonic	posttonic
ī ū	i u e ie, ei, uo, ou	i u e o a

(b) Subsequently short final syllables lost the stress to the preceding syllable.

Formerly pretonic and now stressed syllables could contain the following vowels: i, u e, a, ie, ei, uo and ou. For examples see § 4.2 (Note that my present-day notation of e, ei, uo and ou is  $\ddot{e}$ , e,  $\ddot{o}$  and o). Stressed syllables that were subject to the stress retraction could contain the vowels i, u, e, a, ie and uo. When they became posttonic, ie and uo were identified with posttonic e and e, respectively. For examples see § 4.5.

### 9. Further development of the unstressed vowels in Fe

In Fe the diphthongization on pretonic vowels was lost. The resulting vowels were monophthongs with a mid level of opening. The diphthongs *ie* and *ei* and the monophthong *e* merged to *e*; *uo* and *ou* merged to *o*.

Diagram 16 (Fe only)

stressed				unstr	essed
ī ū	i e a	и	ie, ei, uo, ou	i e	u o a

Apart from the rise of the new long counterpart of stressed e and the phonological reinterpretation of ie, ei, uo and ou as  $\ddot{e}$ ,  $\hat{e}$ ,  $\ddot{o}$  and  $\hat{o}$ , the present-day situation for the dialect of Fe was now reached.

### 10. Further development of the unstressed vowels in Hi

In Hi a rectangular system was restored in short syllables, with very low allophones of e and a strongly rounded a. The similarity between e (present-day e in my notation) and a in the dialect of Hi and the corresponding vowels in the variety of Hungarian spoken in the area is striking and suggests that the reestablishment of a rectangular short vowel system was due to the influence of Hungarian. This led to an asymmetrical picture in posttonic position. In contradistinction to northwest Hungarian, which has a rectangular system in short (stressed and unstressed) syllables with three degrees of openness back and front, the dialect of Hi lacked a front counterpart for posttonic o. The system was asymmetrical in the most unfavourable way (with more back than front vowels). Now o came under severe pressure and was pushed towards o. For a period it remained optionally distinct from o.

# Diagram 17 (Hi only)

stressed st		ressed and pretonic		posttonic	
ī ū	i e	u a	ie, ei, uo, ou	i e	u (0) a

The brackets indicate optionality.

If it is true that the reestablishment of rectangularity in short syllables was due to Hungarian influence, the rise of the triangular system (diagram 14) must be dated before the migration.

There are indications that the above system existed around 1700. Recently 16 sales contracts and receipts written between 1661 and 1710 in Hidegség, Fertőhomok, Kiscenk and Kópháza were published (Nyomárkay 1992)<sup>19</sup>. The language used in these documents is strongly

influenced by the neighbouring i/e-kavian Čakavian dialects, e.g. sused 'neighbour' (with u for  $*\bar{\varrho}$ , text no. 6), sam 'I am' (with a instead of expected e, no. 6), dice 'children' Gsg (with i for  $*\check{e}$ , no. 10)<sup>20</sup>. However, the texts from Hi and Fe also contain many local forms, e.g. roke 'hands' (no. 6), sem 'I am' (no. 7), dece 'children' Gsg (nos. 10 and 13.1).

In the documents from Hi and Kiscenk attestations of posttonic u instead of o can be found, e.g. ne  $budem\underline{u}$  mogli 'we would not be able' (no. 12),  $jedn\underline{u}$  oranje zemlje (no. 12) 'one parcel of land',  $ov\underline{u}$   $moj\underline{u}$  poštuvano pismo 'this my respected letter' Asg (no. 15),  $ov\underline{u}$   $pism\underline{u}$  'this letter' Asg (no. 16). At the same time, posttonic o occurs in forms where it cannot have been borrowed from Čakavian, e.g.  $uterpn\underline{o}l$  'suffered' with  $o < *\bar{o}$ . This points to exactly the optionality described above.

When, as in the dialect of Fe, the diphthongization on pretonic vowels was lost, pretonic mid vowels were obtained. These developed in a way that was parallel to the mid vowels in posttonic syllables: *ie*, *ei*, *uo* and *ou* merged into two mid vowels that were merely optionally distinct from their high neighbours (see diagram 18).

# Diagram 18 (Hi only)

stressed			unstr	essed	
ī ū	i e	u a	ie, ei, uo, ou	i (e) e	и (0) а

Later e and o merged with i and u, respectively:

Diagram 19 (Hi only)

stressed			unstressed				
Ī	Ũ	ī	i	и	ia ai na an	i	u
	ā		e	a	ie, ei, uo, ou	e	a

Apart from the rise of the new long counterpart of stressed e and the phonological reinterpretation of ie, ei, uo and ou as  $\ddot{e}$ ,  $\hat{e}$ ,  $\ddot{o}$  and  $\hat{o}$ , the present-day situation for the dialect of Hi was now reached.

### 11. The rise of the new stressed $\bar{e}$

11.1 Somewhere between the system shown in diagram 9 and the present day a new long counterpart of stressed e arose, which is reflected in the present-day dialect as  $\hat{e}$ . As was said in § 2.3 (remark (5)), the distribution of  $\hat{e}$  is restricted, especially in Fe, and the opposition  $\mathring{e} \neq \hat{e}$  has a small functional load.

In Fe  $\hat{e}$  occurs only in the word  $v\hat{e}k$  'immediately' (contraction from \*vejek) and in end-stressed third person plural forms of *i*-presents, e.g.  $l\hat{e}t\hat{e}$  'they fly'. In forms of the type  $l\hat{e}t\hat{e}$ ,  $\hat{e}$  is not phonemically opposed to  $\hat{e}$ , which does not occur in final syllables of polysyllabic words.

Hi has, in addition, another source for  $\hat{e}$ , viz. the fronting of  $a/\bar{a}$  before tautosyllabic j, e.g.  $z\hat{e}jt$  'go behind'. In this position  $\hat{e}$  is not phonemically opposed to  $\hat{a}$ .

11.2 If words of the type  $l \not e t \hat{e}$  and/or  $z \hat{e} j t$  were the first in which the new  $\bar{e}$  occurred, it was a long allophone of e and/or a front allophone of  $\bar{a}$  until the appearance of  $v \hat{e} k$ . In other words, it is improbable that  $\bar{e}$  was a phoneme before it appeared in  $v \hat{e} k$ .

The new long vowel phoneme  $\bar{e}$  can in principle have arisen at any time between the system shown in diagram 9 and the present day. There is one indication, however, that it did not arise before the migration, which, in its turn, probably took place between the stages presented in diagrams 14 and 17 (see the remark after diagram 17).

According to RHSJ (vol. 20: 729), the lexeme from which  $v\hat{e}k$  was derived (veljek, I attested vejek in Kópháza) is found only in the varieties of Croatian spoken around the Austrian-Hungarian border. This makes it improbable that the word was present in the premigratory stage of the dialect, let alone in its contracted form.<sup>21</sup>

11.3 An interesting and yet unsolved problem is how  $\hat{e}$  arose in  $let\hat{e}$ . It is clear that it is not the reflex of the original ending  $*\bar{e}$  (Proto-Kajkavian  $\bar{e}$ ), which would have been  $\hat{e}$  as in Gsg  $vod\hat{e}$  'water'. It is possible that the ending was originally disyllabic, as it mostly is in unstressed position in the present dialect, and that  $\hat{e}$  is due either to contraction or to morphological replacement of the disyllabic ending by a monosyllabic one. How eventful the history of third person plural present endings in Kajkavian dialects can be is illustrated by Fancev (1907: 372-373). The origin of  $-\hat{e}$  in  $let\hat{e}$  must probably be seen in connection with the origin of nonetymological  $-\hat{u}$  in Hi  $id\hat{u}$  'go' (Fe has  $id\hat{o}$ ). What the endings in  $let\hat{e}$ ,  $id\hat{u}$  and  $id\hat{o}$  have in common is that they are the present-day long counterparts of the monosyllabic unstressed endings, which in their turn are perfectly etymological.

11.4 In the present-day dialect, ie, ei, uo and ou in terms of diagrams 16 and 19 are occasionally realized as monophthongs. The diphthongs must now no longer be seen as diphthongal phonemes, but as the most common allophones of the mid vowels  $\ddot{e}$ ,  $\dot{e}$ ,  $\ddot{o}$  and  $\dot{o}$ . In Hi this phonological reinterpretation can have taken place at any stage after diagram 17 (restoration of the rectangular system). Together with the changes already discussed this led to the present-day Hi vowel system.

Diagram 20: Hi

stressed			unstressed		
ī ē Ģ	ū ō ā	i e ç	u o a	i ç	u a

In the dialect of Fe the phonological reinterpretation of the diphthongs probably took place after the rise of the new  $\bar{e}$ . When e (in terms of diagram 16) obtained a new long partner, new possibilities for phonemic symmetry were created and stressed e,  $\bar{e}$ , a and  $\bar{a}$  developed into each other's front-back counterparts. The diphthongs were reinterpreted as mid vowels and the present-day Fe vowel system was obtained.

Diagram 21: Fe

stressed			unstressed		
Ī ē Ē	ū ō ā	i e ę	u o a	i Ç	u o a

On the phonetic level the stressed Fe vowel system is still less rectangular than the Hi one: a and  $\bar{a}$  are less rounded and the average realization of g and  $\bar{g}$  is higher (see § 2.3, remarks (2) and (3)).

#### 12. Conclusions

In the above I have tried to show that the Hi and Fe vowel system, including its asymmetries, can be derived from Proto-Kajkavian by assuming a series of natural developments. Hence, as far as the vowel system is concerned, there is no need to suppose that the dialect is of mixed Kajkavian-Čakavian or Kajkavian-Štokavian origin.

In the Hi dialect pretonic Proto-Kajkavian  $e \ (< *\check{e}, *\check{ə})$  is reflected as i, which yielded such results as  $diti\check{c}i$  'young men' and  $ti\underline{d}n\hat{o}v$  'week' Gpl with  $i < *\check{e}$ . If such forms are viewed in isolation (from e.g.  $ki\check{s}n\check{i}je$  'later' and  $stikl\hat{e}na$  'glass' Nsg f, with  $i < *\check{ə}$ ) they at first sight look like ikavisms, i.e. unexpected i-like reflexes of  $*\check{e}$ . This, in its turn, suggests influence of non-Kajkavian varieties of Croatian, such as i/e-kavian Čakavian. However, the forms in question are not ikavisms but isolated results of a more general vowel reduction in pretonic syllables in Hi (see § 10).

The dialect shows a very modest number of true ikavisms (most of them were found only in Hi, see § 4.9, (3a)-(3d)) and u-like reflexes of Proto-Kajkavian  $\bar{u}$  ( $\langle *\bar{\varrho}, *\bar{l}; \sec \S 4.9, (2)$ ). These must be ascribed to borrowing at some stage of the development of the dialect.

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#### NOTES

- \* I wish to thank Willem Vermeer for his unremitting willingness to share his views on Kajkavian with me and for his detailed and critical comment on several earlier versions of this article.
- For a general picture of the Croatian dialects in this area see Neweklowsky (1978).
- The provenance of the Croatian population of Hidegség and Fertőhomok has not yet been established with certainty. One of the theories is that the ancestors of the present inhabitants came from (the surroundings of) the fortified towns Velika (now Kraljeva Velika) and Međurič in Slavonia, which, as Hidegség and Fertőhomok, formed part of the possessions of the Nádasdy family. There is some evidence in support of this theory: (1) a letter from Tamás Nádasdy from 1538 in which he states that he gave land in Hungary to the population of (villages around) Velika and Međurič (Šišić 1915, 226-227); (2) a report of a visit in 1631 by a Lutheran bishop to Fertőendréd (another Nádasdy possession not far from Sopron) which makes mention of several church ornaments which the inhabitants said to have brought along from Velika on their flight from the Turkish invadors (Nagy 1883: 27). However, Tamás Nádasdy had many more possessions, in Croatia and Slavonia as well as in Hungary, and Velika and Međurič were not the only places the population had reason to flee from: in another letter (Šišić 1915: 478) Szerdahely is mentioned in a similar context. In 1988 I spent a week in the Hungarian State Archive in search of more direct documental evidence for or against a connection between Velika and Hidegség and Fertőhomok. Although I was unsuccessful, it is not excluded that such documents exist: the Archive possesses a great amount of unstudied material from the sixteenth century.
- The first linguistic discussion on Hi and Fe is contained in Ivšić's "Hrvatska dijaspora u 16. stoljeću" from 1937 (published in Ivšić 1971: 723-804). The available literature on Hidegség and Fertőhomok up to 1981 is briefly discussed in Houtzagers (1987). The discussion did not include Brabec (1982: 80-81), Neweklowsky (1982: 257-258) and Lončarić (1990). Examples of problems with respect to the reflexes of long and short \* $_{\it L}/_{\it L}$ , \* $_{\it E}$ , \* $_{\it Q}$  and \* $_{\it I}$  can be found in Brabec (1970: 80-82), Neweklowsky (1982: 257-258) and Lončarić (1990: 154-155).

- Unless indicated otherwise, the examples illustrate the phenomena under discussion in both Hi and Fe. Abbreviations: N .... L: nominative ... locative; sg, pl: singular, plural; PRlsg ... PR3pl: present first person singular ... third person plural; LP: l-participle; INF: infinitive; IMP: imperative; m, f, n: masculine, feminine, neuter; TOP: toponym, ADV: adverb.
- As a result of the shortening and the retraction, alternations of the type dęčāk 'young man', Npl dęčāki (< dęčāki), pitat 'ask' (< pītāt), PR3sg pîta arose. After this, very often analogic lengthening or shortening took place. Sometimes the analogy worked "both ways" and resulted in doublet length throughout the paradigm, e.g. pominat (< pomināt) 'tell', PR3sg pomina, but also pominat, pomina.
- It is possible that the end-stress in IMP don $\ddot{e}s$  can be explained by a relatively late dropping of the ending -i (don $\dot{e}s$ ) don $\ddot{e}s$ ).
- In the speech of some informants, especially in Hidegség,  $\hat{e}$  and  $\hat{o}$  are occasionally realized as long monophthongs or even (rarely) as long opening diphthongs ([ie:], [uo:]). In approximately 10 hours of sessions with my oldest Hidegség informant (born 1900) I recorded a very small number of monophthongal realizations and not a single realization with a long opening diphthong. In view of the overwhelming majority of realizations with closing diphthongs, especially by older informants and especially in Fertőhomok, I am inclined to regard the phonetic realizations with long opening diphthongs as recent practice: the dialects of the two villages are dying out very fast and opening diphthongal realizations of long mid vowels are found both in the sociolinguistically stronger Čakavian dialects spoken nearby and in the variety of Hungarian spoken in the area. Hidegség is geographically somewhat nearer to the Čakavian dialects mentioned and has been slightly more influenced by them in several respects, For instance, in Hidegség there is an i-reflex of \*ě in the word lîp 'beautiful' and the pronoun \*vz appears almost exclusively as va when unstressed. In Fertőhomok, the forms are lêp and vu (along with va). On these forms see also § 4.9, (3b) and (5).
- The symbol o is used instead of o (which we would expect as the back counterpart of e), because o is the traditional kajkavological symbol for the result of the merger of o0 and o1 (e.g. Ivić 1968: 57-61). In the subsequent diagrams in the present paper the notation of the back counterpart of o2 will be o3.
- In principle, the reconstruction proposed below can also start from Ivić's system (1968: 58):
  - i u c c e o
  - ę

In Ivić's view, the further development of the various Kajkavian vowel systems can be explained by the overloadedness in the back high-mid area (u-o-o). Starting from Vermeer's model, a similar overloadedness arises as a consequence of the defronting of  $\ddot{u}/\ddot{u}$  (in terms of diagram 3 in § 3.1). If the reconstruction of the Hidegség and

Fertőhomok vowel system is started from Ivić's system, it essential that the long counterparts of e and o be viewed as phonetically diphthongal. Ivić gives many examples of diphthongization of long e and o (> ie, uo) from different Kajkavian areas, which he explains partly as a consequence of the overloadedness of the system given above. If this is what happened, the diphthongization must have taken place before the other post-Proto-Kajkavian changes discussed in the present article, otherwise the Hidegség and Fertőhomok changes cannot be explained. It seems preferable, however, to interpret the diphthongal nature of  $*\bar{e}$  and  $*\bar{o}$  as a characteristic already present in Proto-Kajkavian. Ivić himself points out that  $*\bar{e}$  is more often diphthongal than  $*\bar{o}$  and concludes: "to pokazuje da u našem slučaju prenatrpanost zadnjeg vokalnog reda nije jedini uzrok diftongizacije". It is simpler to explain the diphthongal pronunciation of  $*\bar{e}$  as archaic and the diphthongization of  $*\bar{o}$  as a consequence of its development into the back-rounded partner of  $*\bar{e}$ .

- The examples of forms with  $*\bar{\mathfrak{o}}$  in the present section are the only examples available. One of the problems for the analysis of the development of  $*\bar{\mathfrak{o}}$  is that it was relatively rare.
- Fe gôska (cf. Hi gùska) 'goose' and ôska (Hi not attested) 'narrow' Nsg f show length on the root-vowel.
- In the literature on Hi and Fe sometimes non-local examples of ikavisms (unexpected i-like reflexes of \*ĕ) are given, e.g. drugdir 'elsewhere', nedilja 'Sunday' (Neweklowsky 1982: 258) instead of local drugdêr, nadèja; drîva 'wood', vrîme 'time' (Brabec 1970: 500) instead of local drêva, vrême. The forms given by Neweklowsky and Brabec belong to the neighbouring Čakavian dialects. These are clearly felt as more prestigeous by the inhabitants of Hi and Fe and spontaneous borrowing is not uncommon.
- Both variants in both villages in  $\tilde{sije}/\tilde{seje}$  'send' PR3sg Fe Hi; only  $\tilde{i}$  in both villages in pos $\tilde{ije}$  'chaff' Gsg; only  $\tilde{e}$  in both villages in d $\tilde{e}jit$  'divide' (j < lj, unexpected shortness).
- The same phenomenon (sëstra instead of expected \*sëstra) is found in Črečan near Zelina (Kalinski and Šojat 1973: 22).
- <sup>15</sup> In Biškupec (Kalinski and Šojat 1973: 22) the treatment of Proto-Kajkavian o differs (optionally) from that of  $\bar{o}$ , but there the asymmetry is due to the optionality of the opposition 'high mid'  $\neq$  'mid' on back vowels.
- The tendency of  $\bar{e}$  to be more closed than e is known not only in other Kajkavian dialects (e.g. Fancev 1907: 319, Ivić 1968: 58) but also in the rest of Serbo-Croatian and in many other European languages such as Dutch. Ivić (1982: 7-8) formulated a principle "zatvaranje dugih, otvaranje kratkih" for Slavic.
- These were Hidegség, Fertőhomok and maybe a few now magyarized villages where a similar dialect was spoken, such as Fertőendréd (see note 2) and Kiscenk (see § 10). On northwest Hungarian see Imre (1971). Hi and Fe are situated north of point A-10 and west of A-6. These points occur on all the maps in the book. The

dialects in this area belong to the northwest Transdanubian group (északnyugatdunántúli nyelvjárástípus, see p. 333-335) and have a type I/a phoneme inventory (see p. 63-64, 72-73, 334). On the diphthongal mid vowels see pp. 273 and 334, on the realization of e see 292 and 334.

Apparently the influence of Hungarian was greater in Hi than in Fe. This could be due to the fact that Hi was the westernmost of the small group of villages where it is likely that similar Kajkavian dialect were spoken. Fe was probably separated from all sides from purely Hungarian villages. It is also possible that Hi had more Hungarian inhabitants than Fe at or soon after the time of the migration. For references concerning northwest Hungarian see preceding note.

Kiscenk and Kóphaza are in the direct neighbourhood of Hi and Fe. The dialect of Kópháza is entirely different and will not interest us here. If the scribe of text nr. 12 was from Kiscenk, it is clear that a Hi and Fe type of dialect was spoken there.

I have normalized the orthography of the consonants.

If the new  $\bar{e}$  did appear before the stage presented in diagram 14, the development towards triangularity shown in that diagram only affected pretonic and posttonic vowels.

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## NOMINAL AND VERBAL INFLEXION IN THE ČAKAVIAN DIALECT OF KALI ON THE ISLAND OF UGLJAN

#### PETER HOUTZAGERS AND ELENA BUDOVSKAJA

#### 1. Introduction

1.1 The present article is the sequel to an earlier work on the main phonological characteristics of the dialect of Kali, which appeared in volume 22 of *Studies in Slavic and General Linguistics* (1993). It can be read independently, however, because the relevant phonological information will be summarized wherever necessary. In order to make the notation understandable to the reader, we shall briefly present the vowel inventory in the following subsection. The notation of the consonants requires no explanation.

## 1.2 The dialect possesses the following vowels:

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Old short stressed vowels have often become long and rising in closed syllables. Therefore the stressed long vowels given above not only reflect originally long vowels; in addition, long rising vowels in closed syllables frequently reflect old short vowels (see our 1993 article).